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THEORETICAL ANALYSIS OF METAL CUTTING  
WITH LARGE NEGATIVE RAKE CUTTING TOOLS

by

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ABSTRACT

An approximate slip-line field is developed for a range of negative rake angles beyond the range where ordinary metal cutting theory applies. In this range of large negative rake angles, the flow of metal along the tool is in opposite directions on either side of a stagnation point on the tool. This divided flow results in frictional stresses which are also in opposite directions. The slip-line field accounts for this complex friction distribution and is used to calculate the cutting forces and frictional stresses for various rake angles and friction coefficients.

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## NOMENCLATURE

$\alpha$	Negative Rake Angle
$t$	Depth of Cut
$U$	Workpiece Velocity
$U_1, U_2, U_3$	Velocities in the Hodograph
$U_x^*, U_y^*, U_z^*$	Velocity Discontinuities in the Hodograph
$F_n$	Cutting Force Component Normal to the Workpiece Velocity
$F_t$	Cutting Force Component Tangential to the Workpiece Velocity
$x$	Coordinate Direction Parallel to the Workpiece Velocity
$k$	Yield Stress in Pure Shear
$Y$	Yield Stress in Pure Uniaxial Tension
$\sigma, \sigma_1, \sigma_2,$ $\sigma_n, \sigma_t$	Normal Stresses
$\tau, \tau_1,$ $\tau_2, \tau_{xy}$	Shear Stresses
$p, P_1,$ $P_2, P_3$	Hydrostatic Stresses
$\phi, \phi_1, \phi_3$	The Counter-Clockwise Angle of an $\alpha$ -line from the Positive x-Axis
$\alpha$ -line	Slip-Line of the Family Denoted by the Parameter $\phi$
$\beta$ -line	Slip-Line of the Family Denoted by the Parameter $(\phi + \pi/2)$
$u$	Velocity Parallel to an $\alpha$ -line
$v$	Velocity Parallel to a $\beta$ -line
$R$	Fan Radius
$\zeta$	Shear Plane Angle
$\theta$	Stress Discontinuity Angle
$P_m$	Mean Pressure on the Rake Face

$\eta_1, \eta_2$	Friction Angles on the Upper and Lower Sections of the Tool Respectively
$l_1, l_2$	Lengths of the Upper and Lower Sections of the Tool Respectively
$m$	Adhesion Coefficient
$\mu$	Friction Coefficient
$A_R$	Real Area of Contact
$\beta_1, \beta_2$	Parameters in the Equation for $A_R$
$\xi$	Parameter in the Equation for $(\frac{\sigma_n}{2k})_{\lim}$

## INTRODUCTION

Metal cutting is a very important process in industry, yet the mechanics of it are not completely understood. Much research has been done in the area of metal cutting to try to predict how chips form and what forces are required to produce them. It is also hoped that learning more about the metal cutting process will lead to a better understanding of the friction between the tool and the workpiece and thus shed more light on the related problem of tool wear.

Merchant [1], [2], [3] was about the first to study the problem of metal cutting analytically. His work was based on the assumption of a perfectly sharp tool and a single shear plane. But actually cutting tools are not perfectly sharp. The tip of a cutting tool is rounded and the material flow around the tip is very complex because the effective rake angle is not constant around the tool tip.

Albrecht [4] studied the force due to a rounded tool tip and called it the ploughing force. This new force allowed for the construction of a more detailed force diagram which was a step towards a better analytical solution to the metal cutting process.

A major problem in analyzing the ploughing force and the material flow around the tool tip is that the effective rake angle varies around the tool tip from a positive angle at the face of the tool through the negative range to essentially  $-90^{\circ}$  at the tool tip. The difficulty is that the stresses and flow patterns of large negative rake cutting are unknown. Since Merchant's model for metal cutting does not hold for large negative rakes, the problem is still unsolved.

In ordinary metal cutting the tool tip radius is small compared to the undeformed chip thickness. However, there are many important cutting situations in which the tool tip radius is large compared to the undeformed chip thickness such as in metal grinding [5] or in rock cutting with diamond cutting tools [6]. In these cases the cutting force may be predominantly a ploughing force and it becomes important to know the stresses and flow characteristics around the tool tip.

Rubenstein, et al [8] conducted cutting tests using single diamond grains and high speed steel tools to simulate the cutting action of a single abrasive grit in a grinding process. They found a critical angle, at which the chip ceases to form and pure ploughing begins, to be about  $-55^\circ$ . From these experiments they developed a new model for the metal flow around the rounded portion of the tool tip [9]. Their model included a stagnation point on the tool tip located where the effective rake angle was equal to the critical rake angle. Above this stagnation point the material would flow up as part of the chip and below the point the material would flow down under the tool.

Rowe and Wetton [10] developed slip-line fields for a truncated conical tool. Their work indicated how a bulge could form in front and at the sides of the tool, and they were able to predict the transition from a bulge to a chip. Komanduri [11] conducted experiments with negative rake tools and was able to obtain chips with rake angles down to  $-75^\circ$  when cutting steel. Below  $75^\circ$  negative rake, no chips were formed but the side flow of the metal was considerably increased. Komanduri suggested that there is a stagnation point on the tool face above which material flows up the tool face and below which the material flows under the tool. The location of the stagnation point depends on the rake angle.

Lal and Shaw [12] conducted experiments with a hard spherical ball. The sphere was scraped across various materials. They observed a steady-state piling-up of material in front of the ball for small depths of penetration. As penetration was increased to some critical depth, in relation to the diameter of the ball, chips were formed.

Abdelmoneim and Scrutton [13] analyzed orthogonal cutting with round nosed tools in which the depth of cut was less than the tool nose radius. They assumed there was a stable build-up of material on the tool tip below the critical rake angle.

Sakamoto and Tsukizoe [14] conducted scratch tests on copper using conical diamonds. In their work they obtained an excellent series of optical micrographs which show a prow forming in front of the diamond cone. As the sliding distance was increased, the prow gradually grew until a chip was formed. They found that for sufficiently large negative rake angles, all of the material was displaced around the front and sides of the cone but no chips were formed.

From this brief review of the literature it appears there is still much uncertainty in the theory of negative rake metal cutting. A major reason for this uncertainty and one of the most difficult facets of the problem is that the boundary conditions of the problem are not completely known *a priori*. When a rake angle is specified, the direction of chip flow is known, but the size and shape of the chip and deformation zone remain unknown. Hill [20] suggested that there may not be a unique steady-state solution of the single shear-plane type. He used a method of eliminating the configurations in which the material was overstressed to obtain a range of possible solutions. The real solution for a given problem would then lie in the range of possible solutions and would depend on the initial conditions.

Experimental results obtained by Ramalingam and Hazra [21] suggest that additional constraints on the metal cutting problem must come from the structure and properties of the work material. They suggested that the constancy of dynamic shear stress plays a dominant role in determining the geometry of the cutting process. However they were not able to determine the exact role of the dynamic shear stress. They concluded that the geometric configuration of the problem could not be fully defined knowing only the chip flow direction and the dynamic shear stress, and that an additional constraint is necessary to determine the overall configuration of the process.

When the process of large negative rake metal cutting is considered, another major problem is encountered in addition to the unknown geometry. Most experimental work with negative rake angles indicates a stagnation point on the tool face. The location of the stagnation point for various cutting conditions is far from being clearly understood. The presence of a stagnation point on the tool face indicates that the work material is flowing in opposite directions on either side of the stagnation point. This results in the friction on the tool being in opposite directions across the stagnation point which results in a complex force distribution on the tool. Similarly the shear stress distribution in the material near the tool is also more complex.

#### DESCRIPTION OF THE PROBLEM

This study was undertaken to develop an improved theoretical model of orthogonal metal cutting with large negative rake tools. It was desired to learn more about the flow of the material in the vicinity of the tool as well as the stresses and forces involved in the process. The basic problem is shown in Figures 1 and 2.

Most metal cutting applications are actually a three-dimensional process but many can be idealized as two-dimensional without significant loss of accuracy. In this study the problem will be considered a two-dimensional plane strain problem. The tool is rigid and stationary with a straight cutting surface of unit width. The negative rake angle is  $\alpha$  and the depth of cut is  $t$  as shown in Figure 2. The workpiece is a rigid-perfectly plastic material moving towards the tool with a velocity  $U$ . Work hardening of the material as well as temperature effects will be neglected. The plastic zone shown in Figure 1 is the region of the workpiece which has yielded according to the von Mises yield criterion. The remainder of the workpiece outside the plastic zone is rigid. The chip shown in Figure 1 is assumed to be stress free and thus exerts no force on the cutting tool.

The forces  $F_n$  and  $F_t$  shown in Figure 2 are the normal and tangential components of the cutting force. It is desired to obtain an upper bound for the cutting force components as functions of rake angle, depth of cut, and material properties.

The governing partial differential equations for two-dimensional flow of a rigid-plastic material are well known, but there are no straight forward approximate procedures to solve this type of boundary value problem,

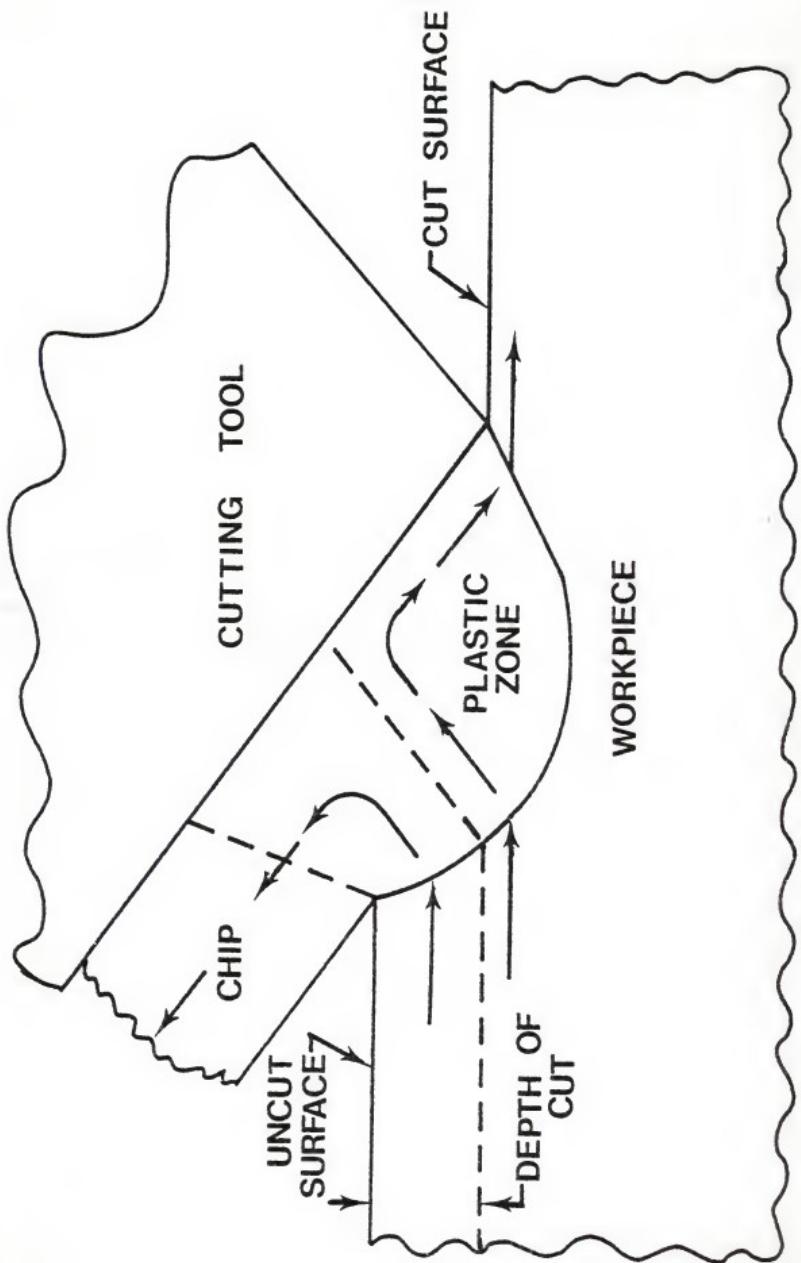


Figure 1

Schematic of Cutting with a Large Negative Rake Tool

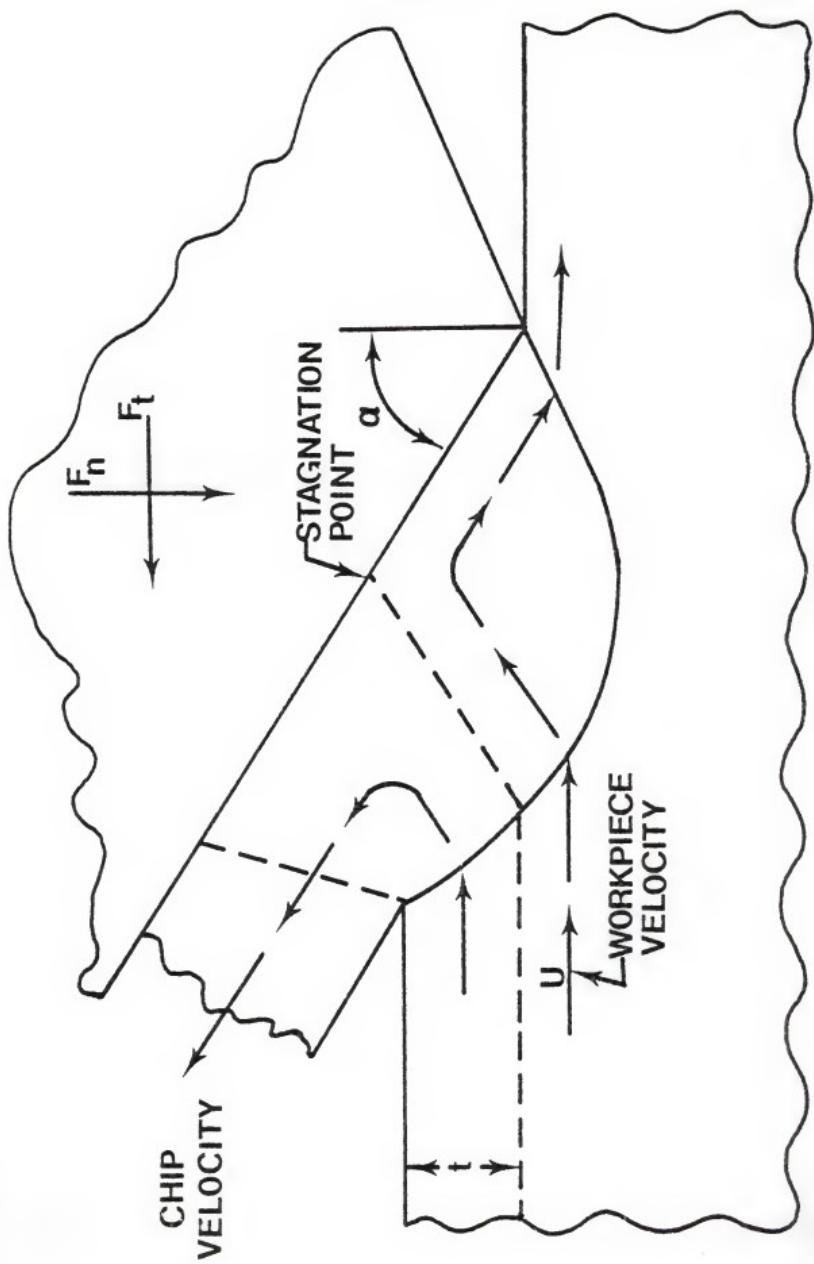


Figure 2

Schematic of Cutting with a  
Large Negative Rake Tool

particularly when the shape of part of the boundary is not known *a priori*. The general nature of solutions is however revealed in terms of the characteristics of the differential equations which are designated as slip-lines. Considerable intuition is required to use the slip-line method to solve problems in which the shape of part of the boundary is unknown. The quality of results obtained by the slip-line method is somewhat dependent on the intuition of the investigator since the procedure of obtaining a solution is not straight forward. However, the slip-line method is well suited to the problem of negative rake metal cutting and will be used here.

In many processes such as extrusion and sheet drawing the boundaries are known and the slip-line theory can be applied directly to obtain an upper bound solution. However since the boundaries for the metal cutting problem are not known *a priori* the slip-line method results in a trial and error process. A slip-line field can be constructed assuming a set of boundaries based on intuition or observation of experimental work. Then a valid velocity field (hodograph) must be found corresponding to the slip-line field. If a valid hodograph cannot be found a new slip-line field must be constructed. The process is repeated until a slip-line field with a corresponding valid hodograph can be found. If the slip-line field satisfies all of the requirements of the slip-line theory, it is an upper bound solution to the problem. This trial and error procedure with unknown boundaries and two-way flow makes the process of solving the large negative rake cutting problem very complex.

## SLIP-LINE THEORY

The slip-line method is a practical method of solution for certain plane plastic flow problems. The method is based on several simplifying assumptions which are reasonably valid for the problem of large negative rake metal cutting.

A plane flow problem is one in which the velocity of the material is always parallel to a given plane, say the  $(x,y)$  plane. Thus, the velocity and all material properties are functions of  $x$  and  $y$  but do not vary with the third coordinate direction. This assumption is reasonable for large negative rake metal cutting when the depth of cut is small compared to the width of the tool.

The work material is assumed to be a rigid-perfectly plastic solid. This means that the material is rigid and has infinite elastic moduli under any state of stress below the yield stress of the material. When the material is stressed above the yield stress it behaves plastically. This assumption is valid for problems in which the material is not highly constrained, in which case, the elastic strains are small compared to the plastic strains. In the problem of large negative rake cutting the material is not highly constrained and hence the assumption of rigid-plastic material behavior is valid.

The von Mises yield criterion is commonly used in plasticity. According to this theory the material yields plastically when the shear stress in the material reaches the pure shear yield stress ( $k$ ). This is easily visualized on a Mohr's circle as the condition of stress in which the radius of the circle is equal to  $k$ . The shear stress in a perfectly

plastic material never exceeds  $k$ . According to the von Mises yield criterion the pure shear yield stress is equal to the yield stress for pure uniaxial tension divided by  $\sqrt{3}$ . From this yield criterion the following yield equation is obtained.

$$\frac{\sigma_x^2 - \sigma_y^2}{2} + \tau_{xy}^2 = k^2 \quad (1)$$

There are three unknown stresses  $\sigma_x$ ,  $\sigma_y$ , and  $\tau_{xy}$ . Compressive stresses are considered negative and tensile stresses positive. To solve for these unknown stresses two more equations are necessary. These are the equilibrium equations of plane stress which are given below.

$$\frac{\partial \sigma_x}{\partial x} + \frac{\partial \tau_{xy}}{\partial y} = 0 \quad (2)$$

$$\frac{\partial \tau_{xy}}{\partial x} + \frac{\partial \sigma_y}{\partial y} = 0 \quad (3)$$

There are now three equations for the three unknown stresses. There are also two velocity equations which must be satisfied throughout a plastically deforming region. The first is the continuity equation (4) in which  $v_x$  and  $v_y$  are the components of velocity in the  $x$  and  $y$  directions respectively.

$$\frac{\partial v_x}{\partial x} + \frac{\partial v_y}{\partial y} = 0 \quad (4)$$

The second velocity equation is the isotropy equation (5) which is obtained from the assumption that for an isotropic rigid-plastic material the principal axes of stress and strain rate coincide.

$$\frac{\partial v_x}{\partial y} + \frac{\partial v_y}{\partial x} = - \left[ \frac{\partial v_x}{\partial x} - \frac{\partial v_y}{\partial y} \right] \cot 2\phi \quad (5)$$

The angle  $\phi$  in equation (5) is defined such that  $(\phi + \frac{\pi}{4})$  is the counter-clockwise rotation of the direction of the algebraically greatest principal stress from the positive  $x$  axis.

It now appears that for a problem with given boundary conditions there are enough equations to theoretically solve for the stresses and velocities exactly. In general, however, problems of plane plastic flow are statically indeterminate and cannot be solved exactly. This is the case for the problem of large negative rake metal cutting and hence an approximate technique must be used to obtain a solution.

The slip-line method is a semi-graphical approximate technique involving the method of characteristics. A slip-line field which satisfies the stress equations can be constructed graphically. The Hencky equations which result from applying the method of characteristics to the stress equations are used to calculate stresses along the slip-lines. Similarly a velocity diagram or hodograph can be constructed which satisfies the velocity equations. The Geiringer equations which are obtained by applying the method of characteristics to the velocity equations can be used to calculate velocities in the slip-line field. However, in many cases velocity values obtained graphically from the hodograph are sufficient.

The hydrostatic stress  $p$  must be introduced to obtain the Hencky equations.

$$p = -\frac{1}{2} (\sigma_x + \sigma_y) \quad (6)$$

For a material in the plastic state,  $p$  is the normal stress coordinate of the center of the Mohr's circle of radius  $k$ . From the Mohr's circle it can be seen that the following relations are true and that they satisfy the yield equations.

$$\sigma_x = -p - k \sin 2\phi \quad (7)$$

$$\sigma_y = -p + k \sin 2\phi \quad (8)$$

$$\tau_{xy} = k \cos 2\phi \quad (9)$$

Substitution of equations (7-9) into (2) and (3) yields a pair of hyperbolic equations which can be solved for  $p$  and  $\phi$  by the method of characteristics. These equations are given below.

$$-\frac{\partial p}{\partial x} - 2k \cos(2\phi) \frac{\partial \phi}{\partial x} - 2k \sin(2\phi) \frac{\partial \phi}{\partial y} = 0 \quad (10)$$

$$-\frac{\partial p}{\partial y} - 2k \sin(2\phi) \frac{\partial \phi}{\partial x} - 2k \cos(2\phi) \frac{\partial \phi}{\partial y} = 0 \quad (11)$$

The characteristic directions for equations (10) and (11) obtained by the method of characteristics are given by equations (12) and (13).

$$\frac{dy}{dx} = \tan \phi \quad (12)$$

$$\frac{dy}{dx} = \tan (\phi + \pi/2) \quad (13)$$

The curves defined by these equations represent the directions of maximum shear stress in the material and are called the slip-lines. The two families of curves form an orthogonal net in the material which makes up the slip-line field. The curves of the family denoted by the parameter  $\phi$  will be called  $\alpha$ -lines, and those denoted by the parameter  $(\phi + \pi/2)$  will be called  $\beta$ -lines. At any point in the  $(x,y)$  plane of the material the direction of the algebraically greatest principal stress bisects the right angle between the  $\alpha$  and  $\beta$  directions in the first and third quadrants of a right handed  $(\alpha, \beta)$  coordinate system.

The Hencky equations which are another form of the plane-strain equilibrium equations for a material in the plastic state are given below.

$$p + 2k \phi = \text{constant on an } \alpha\text{-line} \quad (14)$$

$$p - 2k \phi = \text{constant on a } \beta\text{-line} \quad (15)$$

The Hencky equations can be used to find the hydrostatic stress anywhere along a slip-line, provided  $p$  is known at some point, and the angle  $\phi$  is known along the slip-line.

The characteristics of the velocity equations coincide exactly with the characteristics of the stress equations. Hence, the Geiringer equations for velocity given below are applicable along the slip-lines just as the Hencky equations are.

$$du - v \frac{d\phi}{dx} = 0 \quad \text{on an } \alpha\text{-line} \quad (16)$$

$$dv + u \frac{d\phi}{dx} = 0 \quad \text{on a } \beta\text{-line} \quad (17)$$

Using the Geiringer equations it is possible to numerically calculate velocities from a slip-line field. However it is often more practical to obtain velocities graphically by constructing a hodograph. A hodograph is a diagram which graphically shows the velocity of every point in the material. For complex slip-line fields the hodograph is usually constructed numerically but for approximate slip-line fields, consisting only of straight lines and circular arcs, the hodograph can be constructed graphically. The hodographs used for the metal cutting problem will be simple enough to construct graphically so it will not be necessary to use numerical techniques.

A simplified slip-line field, constructed of straight lines and circular arcs, is an approximation to a true slip-line field which would probably consist of curved slip-lines. In an actual problem the material flows continuously and a hodograph corresponding to a true slip-line field would also consist of curved lines. However, when a simplified slip-line field is used, the corresponding hodograph will contain velocity discontinuities. Velocity discontinuities may occur when the material crosses a slip-line. At a velocity discontinuity only the component of velocity tangent to the slip-line changes. The normal component of velocity must remain constant across a slip-line.

Another type of discontinuity, often necessary in a simplified slip-line solution, is a stress discontinuity. A stress discontinuity is sometimes required to make the stress in different regions of the material

match up. In reality the stress may be changing through a small region and could be represented by a field of curved slip-lines in that region. However for a simplified solution it is assumed that the change in stress occurs at a line of discontinuity.

The stress conditions at a stress discontinuity can be easily visualized from the stress plane and corresponding Mohr's circle of Figure 3. To satisfy equilibrium the normal stress ( $\sigma_n$ ) and the shear stress ( $\tau$ ) must be the same on both sides of the discontinuity. The tangential component of stress changes across the line of discontinuity as does the hydrostatic stress. The magnitude of the change in hydrostatic stress across a stress discontinuity is given by equation (18).

$$\Delta p = 2k \sin 2\theta \quad (18)$$

A line of stress discontinuity can be oriented in any direction in the material except parallel to a slip-line. If a discontinuity was oriented parallel to a slip-line, the two Mohr's circles of Figure 3 would coincide.

When solving a problem using slip-line theory it is necessary to consider the boundary conditions. One type of boundary condition encountered in metal cutting problems is a stress free surface. On a stress free surface the shear and normal stresses are zero and therefore the tangential stress component must be  $-2k$  if the material is in the plastic state. The slip-lines meet a stress free surface at  $45^\circ$ , since there is no shear stress on the surface.

Another type of boundary condition encountered in metal cutting occurs at the tool-workpiece interface. On this surface there can be both shear and normal stresses acting. The shear stress arises from the friction between the tool and the workpiece. If the magnitude of the shear stress is known, the angle at which each family of slip-lines intersects the boundary can be found from the Mohr's circle. In the frictionless case the shear

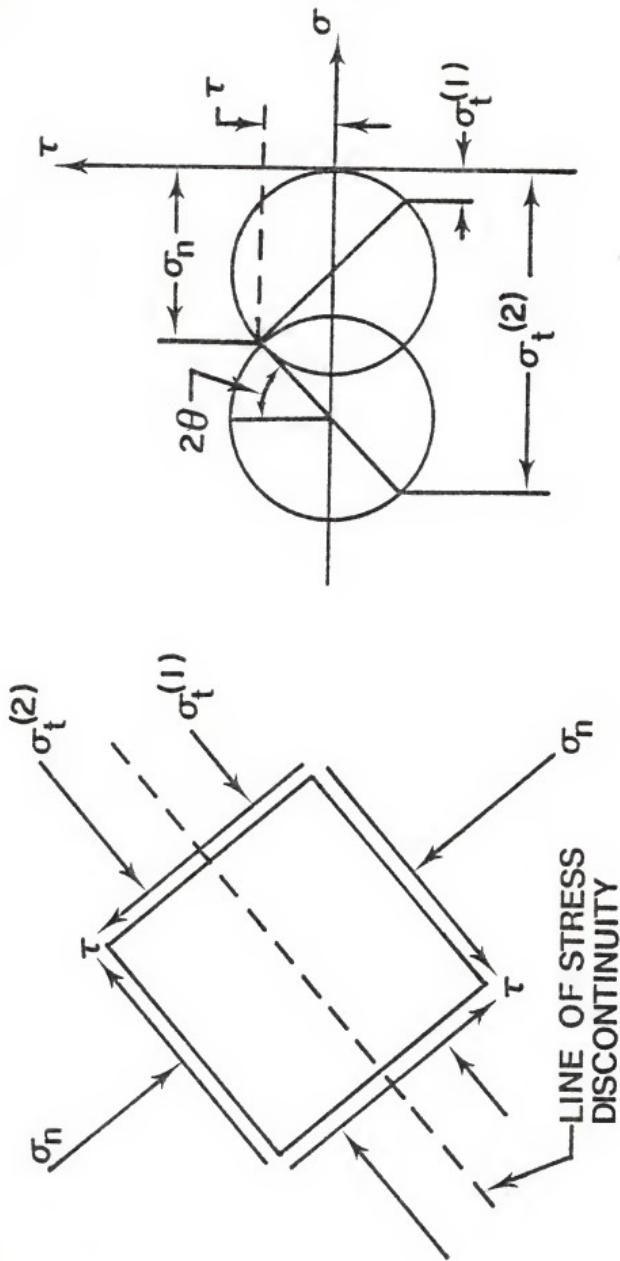


Figure 3  
Stress Components at a  
Stress Discontinuity

stress is zero and the slip-lines intersect the boundary at  $45^{\circ}$ . For the other extreme, called sticking friction, the shear stress is equal to  $k$  and one family of slip-lines is perpendicular to the boundary while the other family is parallel to it.

The magnitude of the frictional shear stress at the tool-workpiece interface is not known *a priori* for the metal cutting problem. It is generally agreed that the magnitude of the shear stress depends on the normal stress. Recent theoretical work [22] has been done to determine the relationship between shear and normal stress in the range of normal stresses encountered in metal cutting. Results of this theoretical work will be used when trying to determine the shear stress at the tool-workpiece interface.

In any two-dimensional plasticity problem it may be possible to find many different slip-line fields with stress fields which satisfy the boundary conditions and with valid hodographs. Any of these slip-line fields are upper bound solutions to the problem. To be complete solution, however, there are two more requirements which must be satisfied. The first requirement is that the rate of plastic work done in the deforming material must be positive everywhere. Since the deformation in a slip-line field takes place along the slip-lines, which are in the directions of maximum shear stress, a velocity discontinuity across a slip-line must be in the same direction as the shear stress across the slip-line to ensure that the work done by the shear stress is positive. In a simplified slip-line field all deformation occurs at velocity discontinuities, so it is only necessary to check for positive work at the velocity discontinuities. The second requirement for a complete solution is that the material adjacent to the plastic region is not overstressed. To check this requirement it is necessary to find a stress field in the adjacent regions which satisfies equilibrium without violating

the yield criterion. If this can be done, and the first requirement is also satisfied, then the slip-line field is the complete solution.

## SLIP-LINE SOLUTION

The first step in obtaining a slip-line solution was to assume a trial slip-line field. Based on intuition and experimental results, there were certain features which were desired. When the tool is cutting, the slip-line field has to contain a chip. Furthermore, most experiments have indicated a stagnation point on the tool [9], [11]. It was therefore necessary that the slip-line field include a stagnation point above which the material flows upwards along the tool and below which the material flows down the tool. Experiments have also indicated a build up of material ahead of the tool which is called a prow [10]. These features are included in the possible slip-line field of Figure 4.

This slip-line field has a valid hodograph and appeared to be a good solution. However, in determining the shear stresses on the tool face,  $\tau_1$  and  $\tau_2$ , corresponding to the normal stresses  $\sigma_1$  and  $\sigma_2$  it was necessary to vary the friction angles  $\eta_1$  and  $\eta_2$  independently. From the geometry of the assumed slip-line field it is seen that  $\eta_1$  and  $\eta_2$  have a definite geometrical relationship and hence are not independent. Thus, another requirement of the slip-line field is that the friction angles must be independent.

Another trial slip-line field with independent friction angles was developed as shown in Figure 5. With this slip-line field it was possible to calculate upper bounds for the cutting forces  $F_n$  and  $F_t$ . However, when the upper bounds were minimized the length  $R$  approached zero and the stagnation point  $B$  moved to the bottom of the tool (point C). The length of the slip-line DB remained constant, and the slip-line field

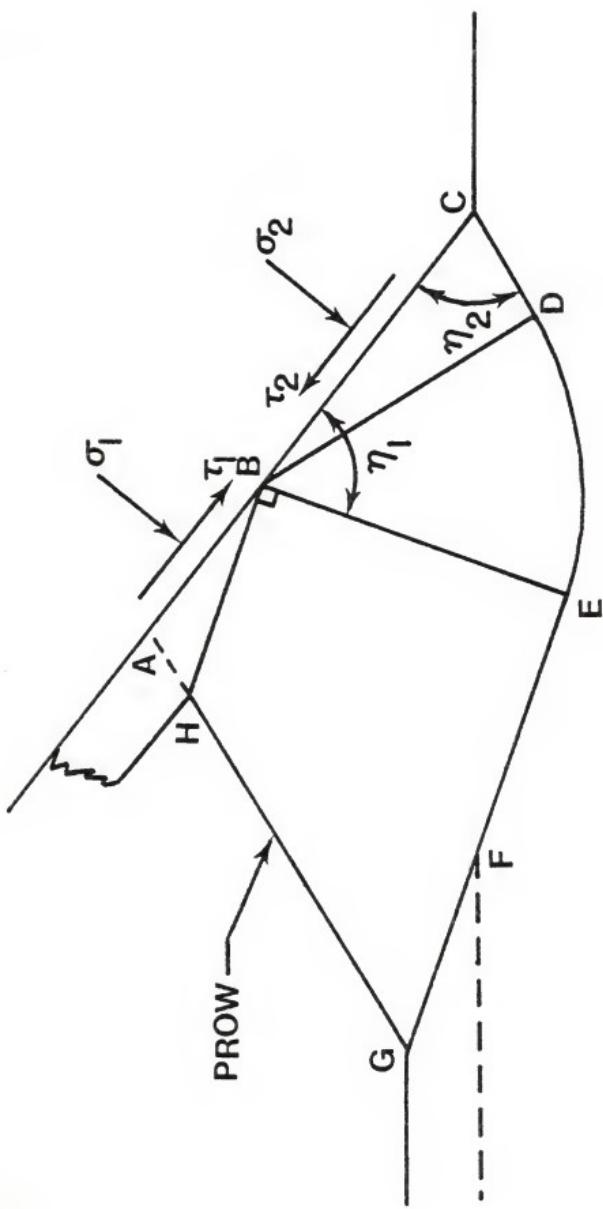


Figure 4  
Trial Slip-line Field

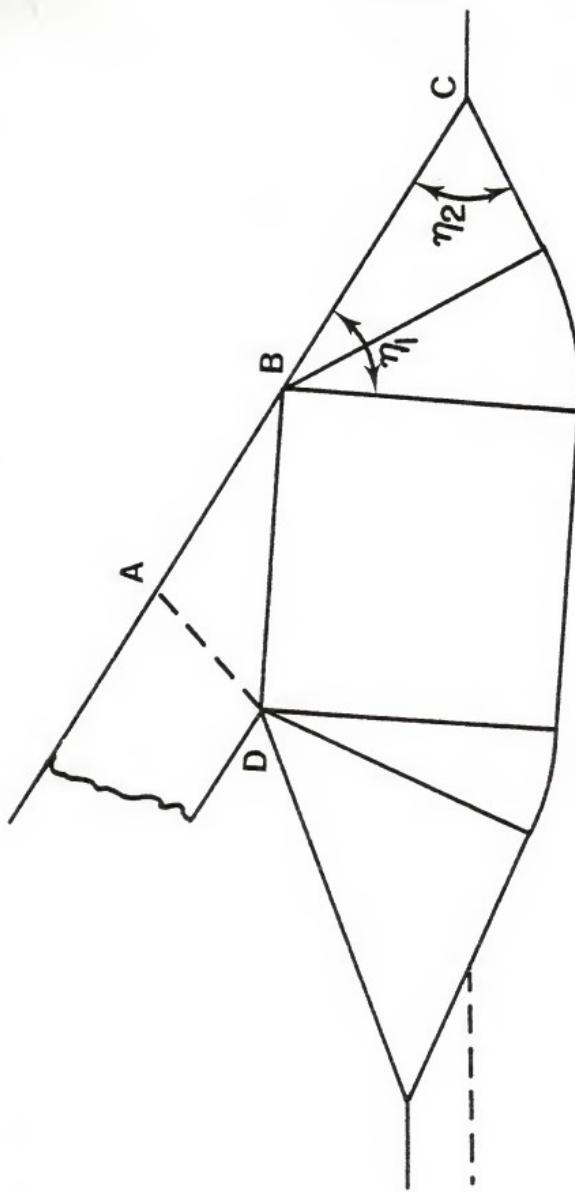


Figure 5  
Trial Slip-line Field

was reduced to the single shear plane type (Figure 6) which is used in conventional metal cutting theory. This type of slip-line field is valid for positive rake angles and small negative rake angles. But, for given friction conditions, the shear plane angle  $\zeta$  decreases as the rake angle becomes more negative. When the rake angle is sufficiently negative,  $\zeta$  is equal to zero, and the solution is no longer valid. Thus, to obtain a solution which was valid for large negative rake angles and which included a stagnation point, it was necessary to develop a new slip-line field which could not be reduced to the single shear plane type. Numerous slip-line fields were tried but none could be found which met all of the previously discussed requirements and also satisfied equilibrium. The main difficulty was in getting the hydrostatic pressure in adjacent regions to match at the common boundary between the regions. The slip-line field of Figure 7 is the best approximate solution that could be developed using simple slip-line theory.

This slip-line field has variable friction angles,  $\eta_1$  and  $\eta_2$ , and it cannot be reduced to a single shear plane type slip-line field. However, it contains a pressure mis-match in the region BGH due to the stress discontinuity FG. The pressure along slip-line BE is  $P_2$ , but the pressure along slip-lines BH and GH is  $P_1$ . It is believed that with a more complex slip-line field the pressures in this region could be matched up to satisfy equilibrium. Thus the pressures  $P_1$  and  $P_2$  are reasonably correct and the stresses on the tool are not appreciably affected by the mis-match of pressures in the region BGH. Although this solution is an approximation, it is believed to be the first slip-line solution which is valid for large negative rake angles and includes a stagnation point.

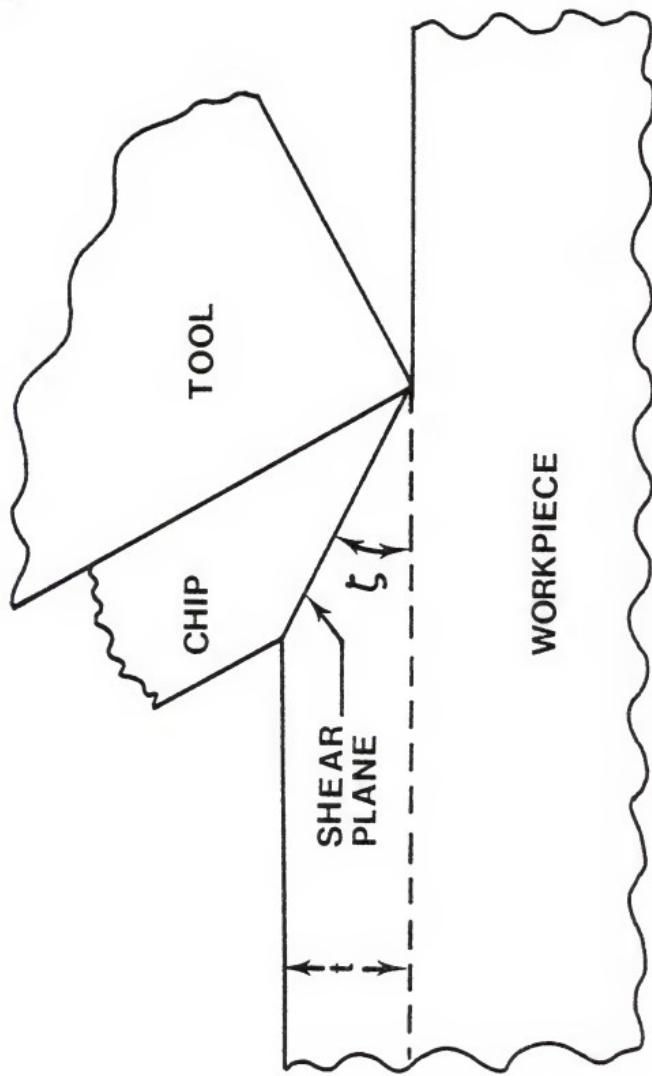


Figure 6  
Single Shear Plane Solution

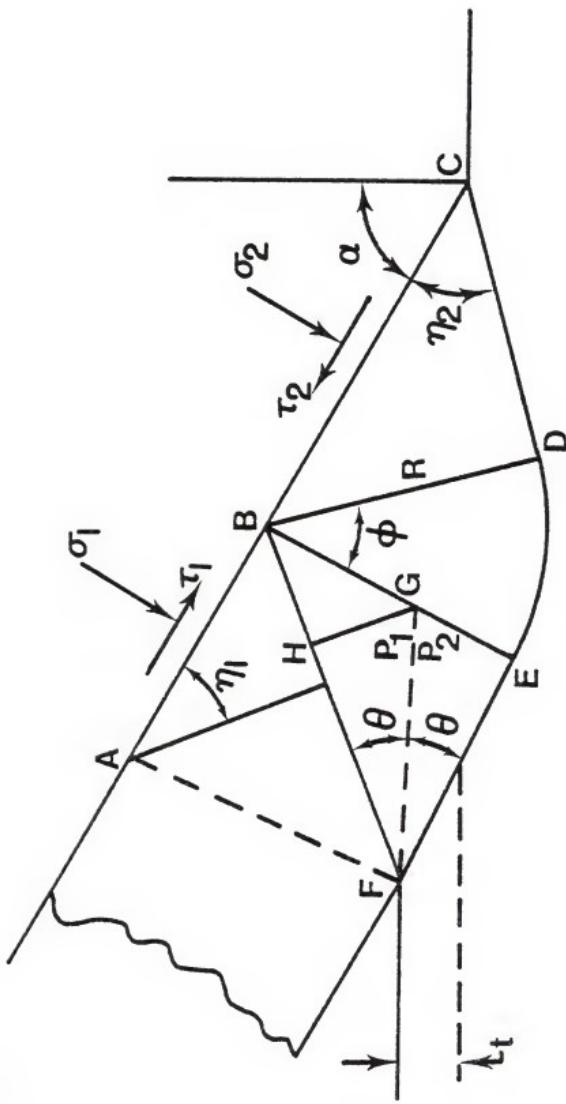


Figure 7

Slip-line Field Solution with  
Stress Discontinuity

The slip-line field and the corresponding hodograph are shown in Figure 8. The U vectors in the hodograph represent the velocities of the regions in the slip-line field which have constant velocity. The  $U^*$  vectors represent the velocity discontinuities which occur along the slip-lines. The arc aa in the hodograph represents the continuous change in velocity from  $U_1$  to  $U_3$  which occurs in the fan DBE. The fan angle  $\phi$  in the slip-line field is equal to the angle of arc aa in the hodograph.

There are several geometrical constraints on the angles  $\alpha$ ,  $n_1$ ,  $n_2$ , and  $\theta$  in the slip-line field of Figure 7 which must be satisfied for the slip-line field and hodograph to be valid. From slip-line theory the friction angles  $n_1$  and  $n_2$  must be less than or equal to  $45^\circ$  so that the frictional stresses are in the proper directions. By observing the slip-line field of Figure 7 it is seen that the sum of  $\alpha$  and  $n_2$  must be greater than  $90^\circ$ . If the sum was less than  $90^\circ$ , all of the material entering the slip-line field would be removed as a chip and there would not be a stagnation point on the tool. Thus if  $n_2$  is less than or equal to  $45^\circ$ , the range of  $\alpha$  is

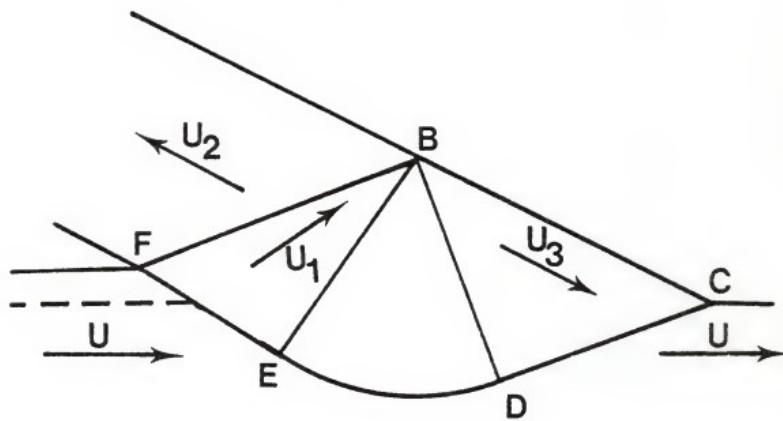
$$45^\circ < \alpha < 90^\circ \quad (19)$$

The minimum value of  $n_2$  is dependent on  $\alpha$  and thus the range of  $n_2$  is given by the expression

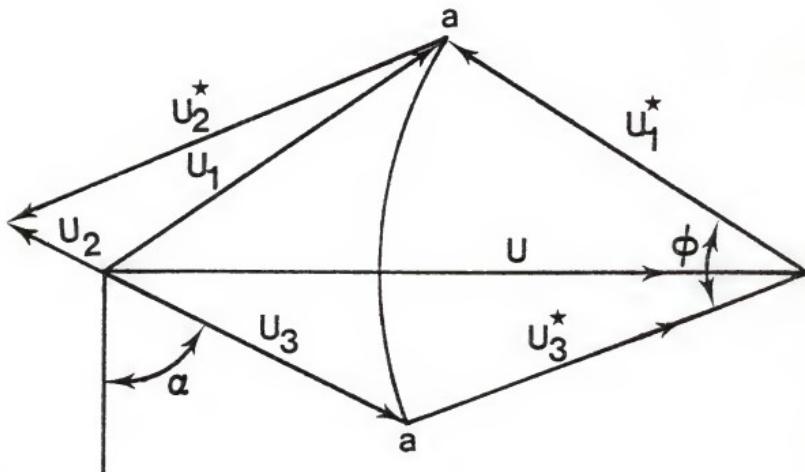
$$(90^\circ - \alpha) < n_2 \leq 45^\circ \quad (20)$$

When  $n_1$  is decreased, for fixed values of  $\alpha$  and  $n_2$ , the depth of cut decreases. Hence the lower bound on  $n_1$  is obtained when the depth of cut is reduced to zero. For zero depth of cut,  $n_1$  is given by the following expression which has been verified numerically.

$$n_1 = \alpha - \sin^{-1} \left[ \frac{\cos(\alpha)}{\sin(n_2)} \right] \quad (21)$$



SLIP-LINE FIELD



HODOGRAPH

Figure 8

Slip-line Field with Hodograph

Therefore the permissible range of  $\eta_1$  is

$$\alpha - \sin^{-1} \left[ \frac{\cos(\alpha)}{\sin(\eta_1)} \right] < \eta_1 \leq 45^\circ \quad (22)$$

The value of  $\theta$  may approach a maximum of  $45^\circ$ . The minimum value of  $\theta$  occurs when the velocity  $U_1$  (Figure 8) becomes parallel to the slip-line BF. On the hodograph this means that  $U_1$  and  $U_2^*$  coincide. In this case the material with velocity  $U_1$  will not cross the slip-line and hence no chip will be formed. An expression for the minimum value of  $\theta$  can be obtained from the hodograph of Figure 9.

Using the law of sines,

$$\frac{U}{\sin(\pi - \eta_2)} = \frac{U_3^*}{\sin(\alpha/2 - \alpha)} \quad (23a)$$

or  $U_3^* = \frac{U \cos(\alpha)}{\sin(\eta_2)} \quad (23b)$

and  $\frac{U}{\sin(\pi - 2\theta \text{ min})} = \frac{U_1^*}{\sin(\alpha - \eta_1)} \quad (23c)$

or  $U_1^* = \frac{U \sin(\alpha - \eta_1)}{\sin(2\theta \text{ min})} \quad (23d)$

But since  $U_1^*$  and  $U_3^*$  occur on the same slip-line their magnitudes must be equal. Combining equations (23b) and (23d) and solving for  $\theta_{\text{min}}$  leads to

$$\theta_{\text{min}} = \frac{1}{2} \sin^{-1} \left[ \frac{\sin(\alpha - \eta_1) \sin(\eta_2)}{\cos(\alpha)} \right] \quad (24)$$

Thus the range of  $\theta$  is

$$\frac{1}{2} \sin^{-1} \left[ \frac{\sin(\alpha - \eta_1) \sin(\eta_2)}{\cos(\alpha)} \right] < \theta < 45^\circ \quad (25)$$

One of the requirements of a complete slip-line solution is that the rate of plastic work done everywhere in the material must be positive. In the slip-line field of Figure 7 all of the plastic work takes place at the slip-lines where the velocity discontinuities occur. Thus the check for positive work is done by checking the direction of the shear stresses at the velocity discontinuities. For positive work to occur, the direction

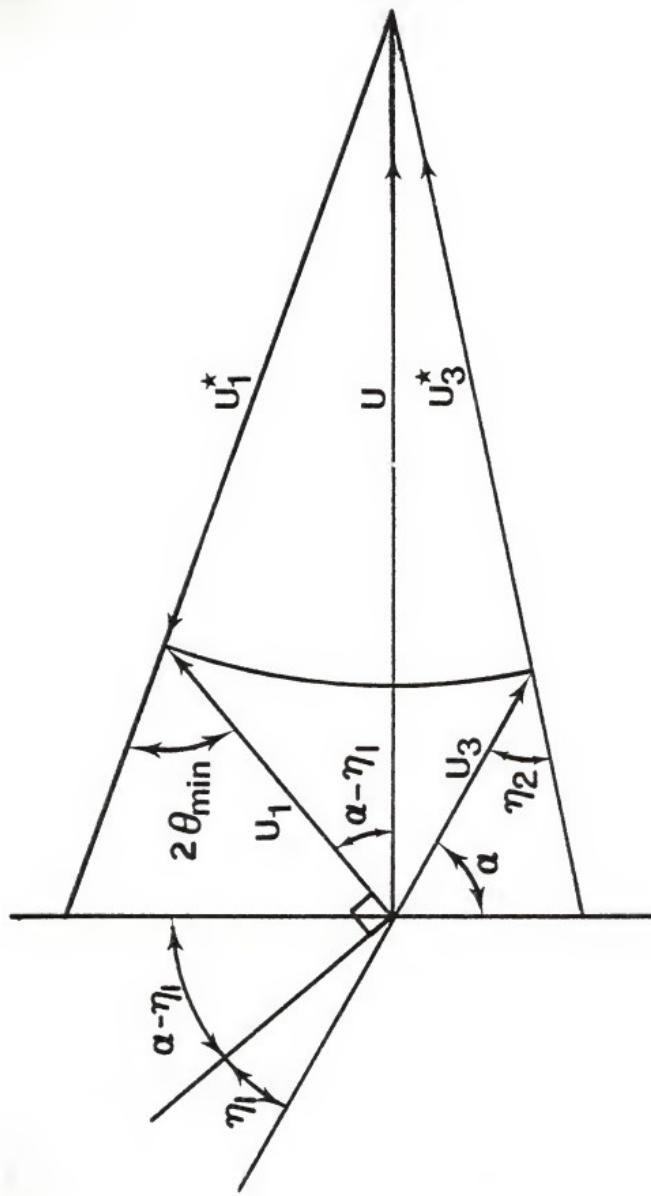


Figure 9  
Hodograph for Determining  $\theta_{\min}$

of the shear stress across a velocity discontinuity must be the same as the direction of the velocity discontinuity. Figure 10 shows the directions of the shear stresses on elements at the various slip-lines where discontinuities occur. From Figure 10 and the hodograph of Figure 8 it is observed that all of the shear stresses across velocity discontinuities are in the same direction as the discontinuities and therefore all of the plastic work done is positive.

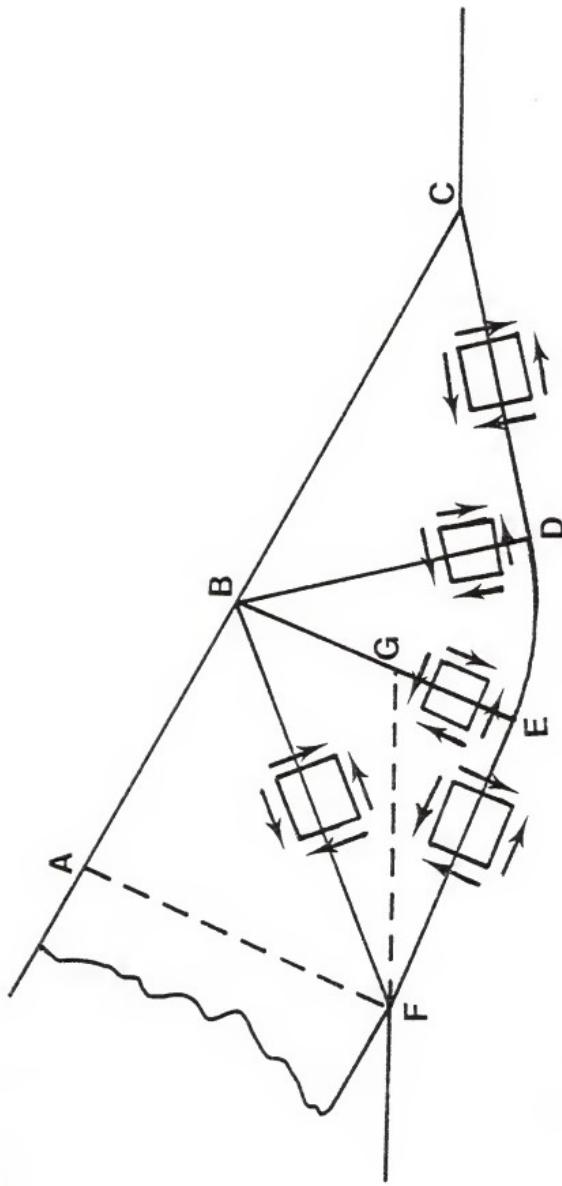


Figure 10  
Directions of Shear Stresses

## CUTTING FORCE COMPONENTS

The cutting forces were found using the slip-line field of Figure 7. The first step in calculating the cutting forces was to compute the stresses acting on the work material. The stresses  $\sigma_1$ ,  $\sigma_2$ ,  $\tau_1$ , and  $\tau_2$  are shown in Figure 7 in the directions in which they act on the material. These directions coincide with the directions of the external forces which must be applied to the tool to make the cut. Hence calculating the forces due to  $\sigma_1$ ,  $\sigma_2$ ,  $\tau_1$ , and  $\tau_2$  will yield the desired cutting forces in the proper directions.

The stresses  $\sigma_1$  and  $\tau_1$  can be found in terms of the friction angle  $n_1$  using the Mohr's circle of Figure 11. The chip material which is to the left of the stress discontinuity AF in Figure 7 is stress free and thus has a Mohr's circle which is a point located at the origin of the  $\sigma$ - $\tau$  coordinate system. The material on the other side of the stress discontinuity is in the plastic state and has the Mohr's circle of Figure 11. The state of stress at the stress discontinuity is shown in Figure 12a. By rotating through the proper angle ( $90^\circ + 2n_1$ ) on the Mohr's circle, the stress components acting on the material adjacent to the tool are obtained. (Figure 12b) The stresses are given mathematically by the following equations.

$$\sigma_1 = k [1 + \sin (2n_1)] \quad (26)$$

$$\tau_1 = k \cos (2n_1) \quad (27)$$

The other stresses,  $\sigma_2$  and  $\tau_2$ , were found from the Mohr's circles of Figure 13 together with the Hencky equations and equation (18) for the change in hydrostatic stress across a stress discontinuity. In Figure 13, point A represents the normal and shearing stresses at slip-line BF of

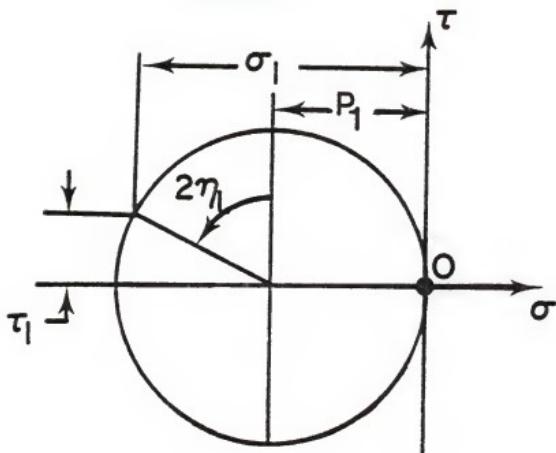
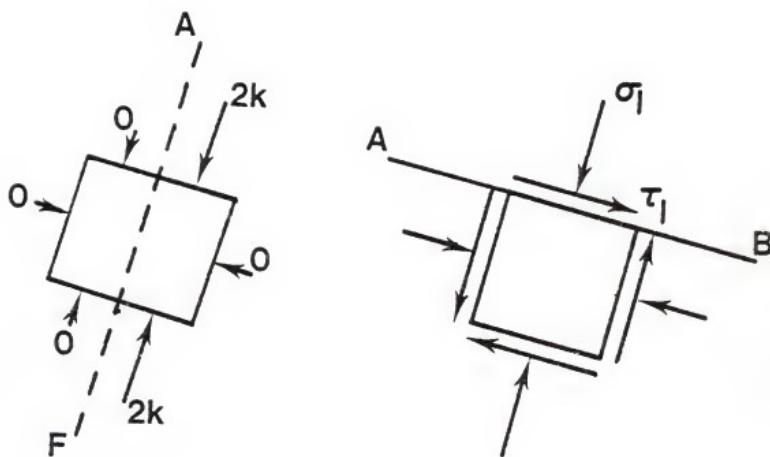


Figure 11

Mohr's Circle for  $\sigma_1$  and  $\tau_1$ 

a. Stress Discontinuity AF

b. Stresses on the Tool at Section AB

Figure 12

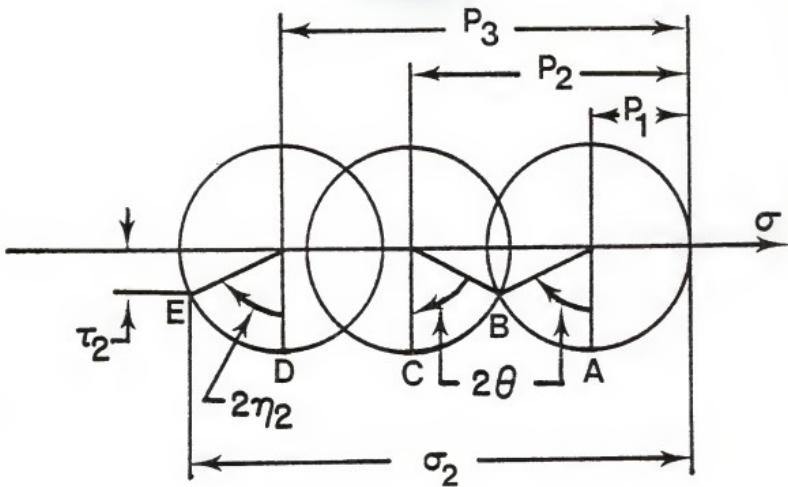


Figure 13

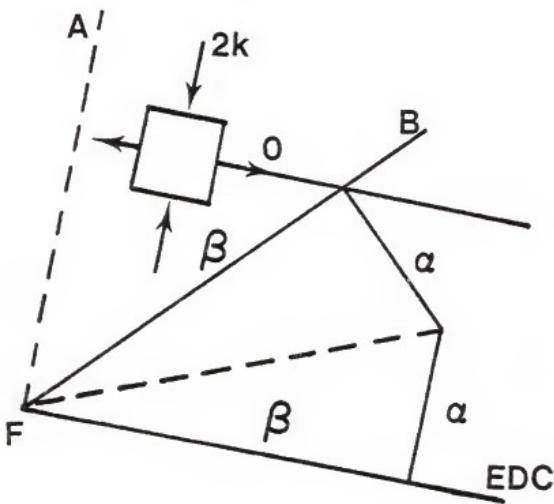
Mohr's Circle for  $\sigma_2$  and  $\tau_2$ 

Figure 14

Determination of  $\alpha$  and  $\beta$  Directions

Figure 7. By rotating on the circle through the angle  $2\theta$  the stresses at the discontinuity FG are obtained (Point B). Across the stress discontinuity a new Mohr's circle is required. By rotating on the new circle through the angle  $2\theta$  the stresses at slip-line EF are obtained (Point C). The change in hydrostatic stress  $\Delta p$  due to the stress discontinuity is obtained from equation (18) as

$$\Delta p = 2k \sin 2\theta \quad (18)$$

The slip-line FEDC is a continuous slip-line and hence the hydrostatic stress can be found anywhere along the slip-line given the stress at some point on the slip-line and the angular change of the slip-line. By examining the stresses in the region ABF of Figure 14 it is found that slip-line FEDC is a  $\beta$  slip-line since the direction of the algebraically greatest principal stress bisects the right handed  $\alpha$ - $\beta$  coordinate system in the first and third quadrants. Hence the Hencky equation for a  $\beta$  characteristic can be used to find the change in hydrostatic stress from segment EF to segment CD. The Hencky euuation is of the form

$$p - 2k\phi = \text{constant} \quad (15)$$

Thus  $P_2 - 2k\phi_2 = C \quad (28)$

and  $P_3 - 2k\phi_3 = C \quad (29)$

Combining (28) and (29) gives

$$P_3 - P_2 = 2k(\phi_3 - \phi_2) \quad (30)$$

or  $P_3 - P_2 = 2k\phi \quad (31)$

The final step in determining the stress components  $\sigma_2$  and  $\tau_2$  is to rotate from point D to point E on the Mohr's circle of Figure 13. Thus the stress components can be written as

$$\sigma_2 = k [1 + 2\phi + 2 \sin (2\theta) + \sin (2n_2)] \quad (32)$$

$$\tau_2 = k \cos (2n_2) \quad (33)$$

To compute the cutting forces from the stresses on the tool face it is necessary to know the area on which the stresses act. Assuming the tool is of unit width, the area is equal to the length of the tool. The length of the tool can be divided into two parts,  $\ell_1$  and  $\ell_2$ . The length over which  $\sigma_1$  and  $\tau_1$  act is  $\ell_1$ , and the length over which  $\sigma_2$  and  $\tau_2$  act is  $\ell_2$ . (Figure 15) These lengths can be determined in terms of the radius ( $R$ ) of the fan in the slip-line field.

$$\ell_1 = \frac{R}{\sqrt{2} \sin (2\theta) \sin (\eta_1 + \pi/4)} \quad (34)$$

$$\ell_2 = \frac{R}{\sin (\eta_2)} \quad (35)$$

The cutting force components which are of interest are the force tangential to the cutting direction and the force normal to the cutting direction. These components will be labeled  $F_t$  and  $F_n$  respectively.

(Figure 15) The expressions for the force components are given below.

$$F_t = (\sigma_1 \ell_1 + \sigma_2 \ell_2) \cos(\alpha) + (\tau_2 \ell_2 - \tau_1 \ell_1) \sin(\alpha) \quad (36)$$

$$F_n = (\sigma_1 \ell_1 + \sigma_2 \ell_2) \sin(\alpha) + (\tau_1 \ell_1 - \tau_2 \ell_2) \cos(\alpha) \quad (37)$$

The dimensions of these forces are force/length since the tool area was calculated per unit width of tool. For convenience the dimensionless forms  $\frac{F_{t,n}}{tk}$  of the force components will be used. The depth of cut,  $t$ , can be derived from the geometry of the slip-line field as

$$t = R \left[ \frac{\cos (\alpha)}{\sin (\eta_2)} - \frac{\sin (\alpha - \eta_1)}{\sin (2\theta)} \right] \quad (38)$$

By substituting for the stresses and lengths in equations (36) and (37) and using equation (38) for  $t$  the dimensionless forces are given as follows.

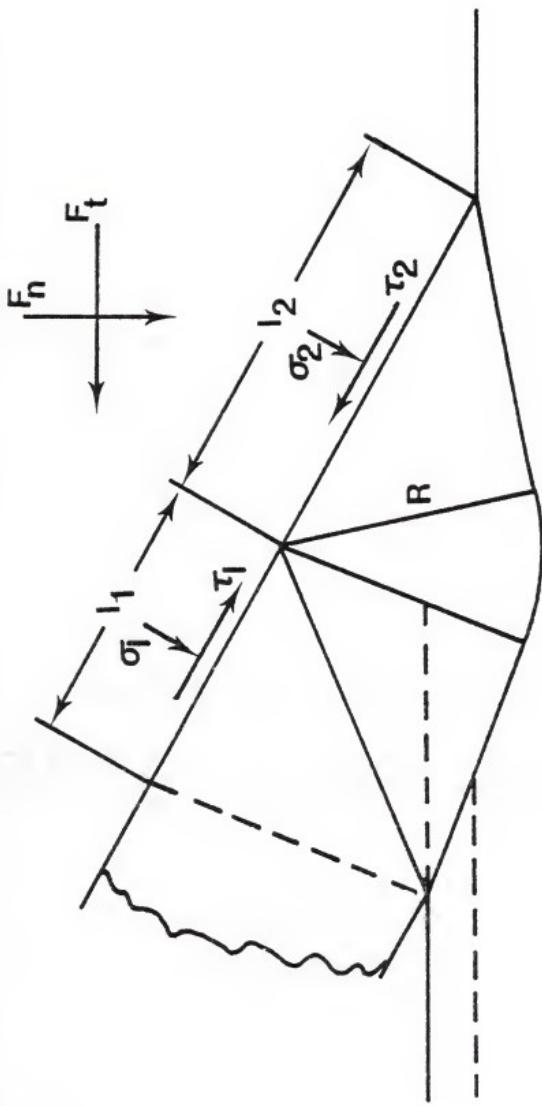


Figure 15  
Determination of Cutting Forces

$$\frac{F_t}{tk} = \left[ \left[ \frac{1 + \sin(2\eta_1)}{\sqrt{2} \sin(2\theta) \sin(\eta_1 + \pi/4)} + \frac{1 + 2\phi + 2 \sin(2\theta) + \sin(2\eta_2)}{\sin(\eta_2)} \right] \right. \\ \left. \left[ \cos(\alpha) \right] + \left[ \frac{\cos(2\eta_2)}{\sin(\eta_2)} - \frac{\cos(2\eta_1)}{\sqrt{2} \sin(2\theta) \sin(\eta_1 + \pi/4)} \right] \left[ \sin(\alpha) \right] \right] / \\ \left[ \frac{\cos(\alpha)}{\sin(\eta_2)} - \frac{\sin(\alpha - \eta_1)}{\sin(2\theta)} \right] \quad (39)$$

$$\frac{F_n}{Ek} = \left[ \left[ \frac{1 + \sin(2\eta_1)}{\sqrt{2} \sin(2\theta) \sin(\eta_1 + \pi/4)} + \frac{1 + 2\phi + 2 \sin(2\theta) + \sin(2\eta_2)}{\sin(\eta_2)} \right] \right. \\ \left. \left[ \sin(\alpha) \right] + \left[ \frac{\cos(2\eta_1)}{\sqrt{2} \sin(2\theta) \sin(\eta_1 + \pi/4)} - \frac{\cos(2\eta_2)}{\sin(\eta_2)} \right] \left[ \cos(\alpha) \right] \right] / \\ \left[ \frac{\cos(\alpha)}{\sin(\eta_2)} - \frac{\sin(\alpha - \eta_1)}{\sin(2\theta)} \right] \quad (40)$$

The fan angle  $\phi$  can be derived in terms of the friction angles and  $\theta$  as

$$\phi = 2\theta + \eta_1 + \eta_2 - \pi/2 \quad (41)$$

Thus the dimensionless forces are dependent only on the back rake angle  $\alpha$ , the friction angles  $\eta_1$  and  $\eta_2$ , and  $\theta$ .

Another quantity which is of interest is the mean pressure ratio. The mean pressure ratio for this problem is the average normal pressure ( $P_m$ ) on the tool divided by the pure shear yield stress ( $k$ ). The mean pressure ratio is given by the following expression.

$$\frac{P_m}{k} = \frac{1}{k} \frac{\sigma_1 l_1 + \sigma_2 l_2}{l_1 + l_2} \quad (42)$$

By substituting for the stresses and lengths the mean pressure ratio becomes

$$\frac{P_m}{k} = \frac{\frac{1 + \sin(2\eta_1)}{\sqrt{2} \sin(2\theta) \sin(\eta_1 + \pi/4)} + \frac{1 + 2\phi + 2 \sin(2\theta) + \sin(2\eta_2)}{\sin(\eta_2)}}{\frac{1}{\sqrt{2} \sin(2\theta) \sin(\eta_1 + \pi/4)} + \frac{1}{\sin(\eta_2)}} \quad (43)$$

### NUMERICAL RESULTS: FRICTIONLESS CASE

The first case to be considered was the frictionless case. In this case the shear stresses  $\tau_1$  and  $\tau_2$  are zero and the friction angles  $\eta_1$  and  $\eta_2$  are  $45^\circ$ . The negative rake angle was varied from a minimum of  $45^\circ$  to a maximum value at which the depth of cut,  $t$ , in the slip-line field became zero. The following equation which is a form of equation (21) is a relationship between the rake angle and the friction angles when the depth of cut is zero.

$$\sin(\eta_1 - \alpha) + \frac{\cos(\alpha)}{\sin(\eta_2)} = 0 \quad (44)$$

Solving equation (44) for  $\alpha$  yields

$$\alpha = \tan^{-1} \left[ \tan(\eta_1) + \frac{1}{\cos(\eta_1) \sin(\eta_2)} \right] \quad (45)$$

With the friction angles equal to  $45^\circ$  equation (45) gives a value of approximately  $71.6^\circ$  for the maximum allowable negative rake angle in the frictionless case.

Equations (39) and (40) for the dimensionless forces  $F_t$  and  $F_n$  are only dependent on the rake angle and  $\theta$  for the frictionless case since  $\eta_1$  and  $\eta_2$  are fixed. Hence for a given rake angle the only variable is  $\theta$ . In carrying out the numerical results it was found that for any rake angle the dimensionless forces had definite minimums with respect to  $\theta$ . The relationship between  $F_t$  and  $\theta$  for a negative rake angle of  $60^\circ$  shown in Figure 16 is typical of the variation of both  $F_t$  and  $F_n$  for any rake angle. Since  $F_t$  and  $F_n$  are upper bounds of the actual cutting forces, the minimum values of the forces with respect to  $\theta$  were calculated for various rake angles. The results are plotted in Figure 17.

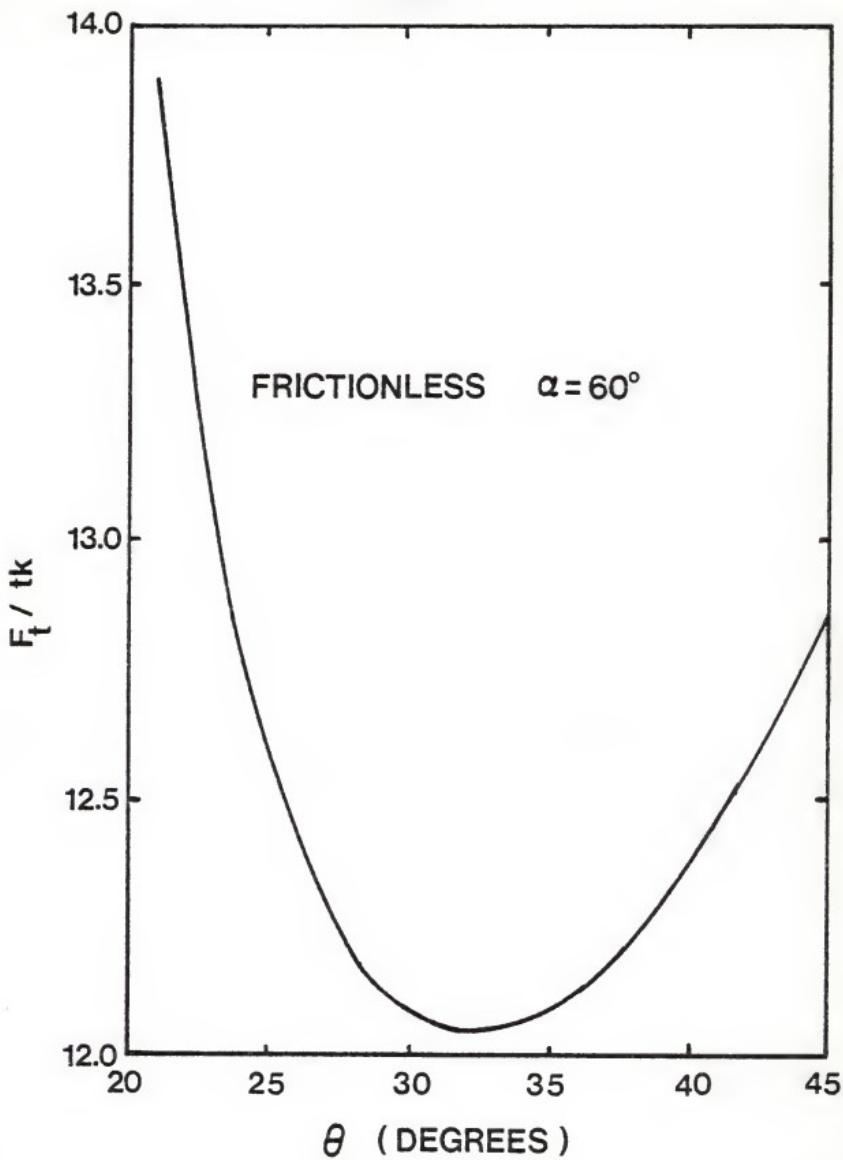


Figure 16

Variation of Tangential Cutting  
Force with respect to  $\theta$

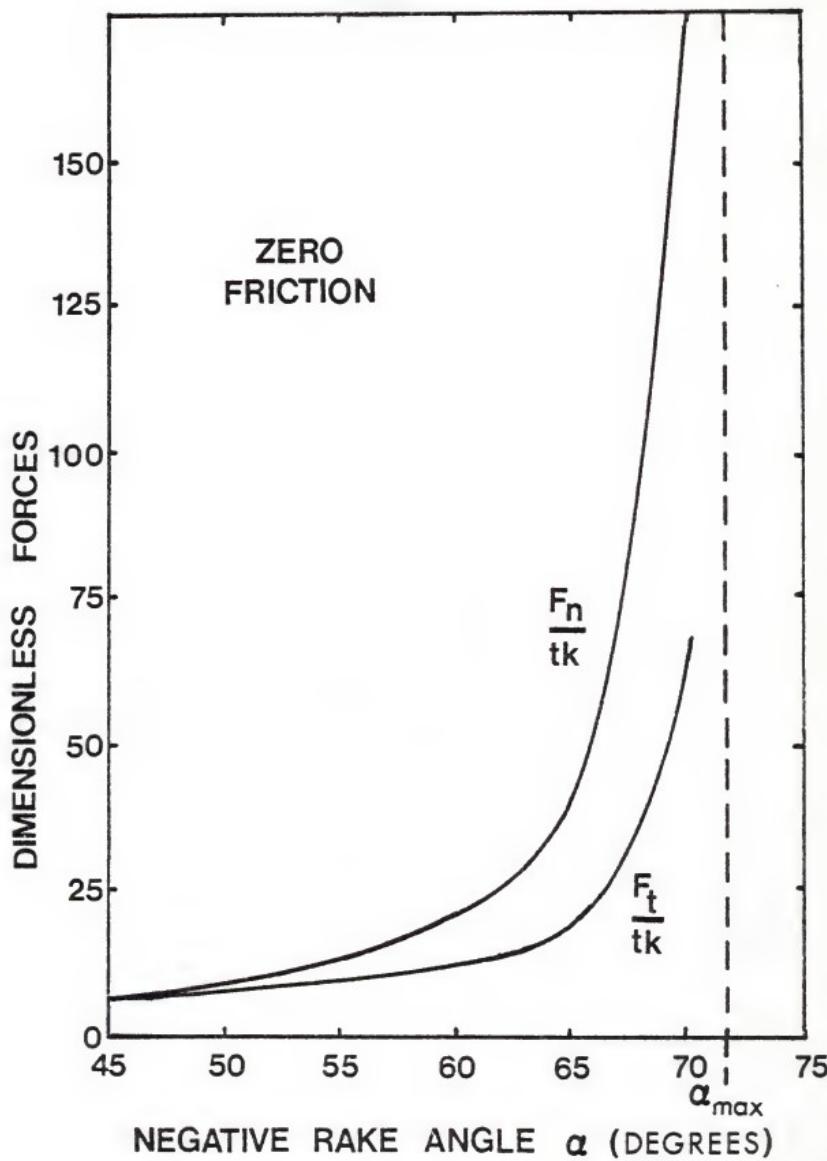


Figure 17

Minimum Dimensionless Cutting Forces

Varying  $\theta$  with  $\alpha$ ,  $\eta_1$ , and  $\eta_2$  fixed actually varies the depth of cut. Thus, minimizing with respect to  $\theta$  determines the depth of cut which requires the smallest cutting force per unit depth of cut. The dimensionless parameter  $t/R$  was calculated from equation (38). Figure 18 shows how the depth of cut which requires the least cutting force for a given rake angle varies with respect to the rake angle.

As expected, the required cutting forces increase as the rake angle becomes more negative, and the normal force which is required to force the tool down into the workpiece increases much faster than the tangential force. As the rake angle approaches its maximum value the depth of cut goes to zero and thus the dimensionless forces become infinite.

The mean pressure ratio which was also minimized with respect to  $\theta$  is plotted in Figure 19. In Bowden and Tabor [23] a mean pressure ratio defined as  $P_m/Y$ , where  $Y$  is the yield stress in pure tension, is given for indentation of a hard spherical ball into work-hardened steel. Their experimentally oriented ratio is 2.8 which corresponds to  $P_m/k$  of approximately 4.9 as shown in Figure 19. The fact that the experimentally obtained mean pressure ratio for indentation is in the range of mean pressure ratios obtained for frictionless negative rake cutting is encouraging since the two processes are similar.

A slip-line field and hodograph for frictionless cutting with a negative rake angle of  $60^\circ$  is shown in Figure 20. In this case  $\theta$  is equal to  $32.0^\circ$  and  $t/R$  is equal to 0.42.

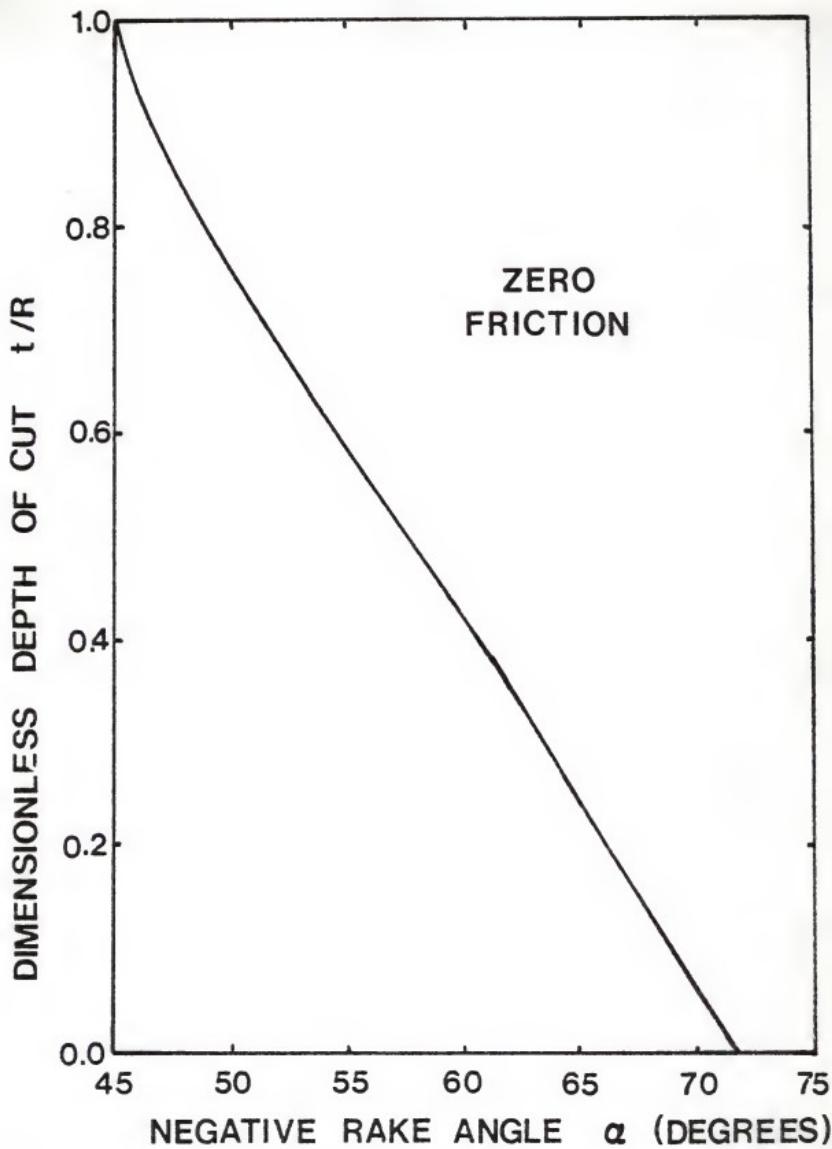


Figure 18

Depth of Cut versus  
Negative Rake Angle

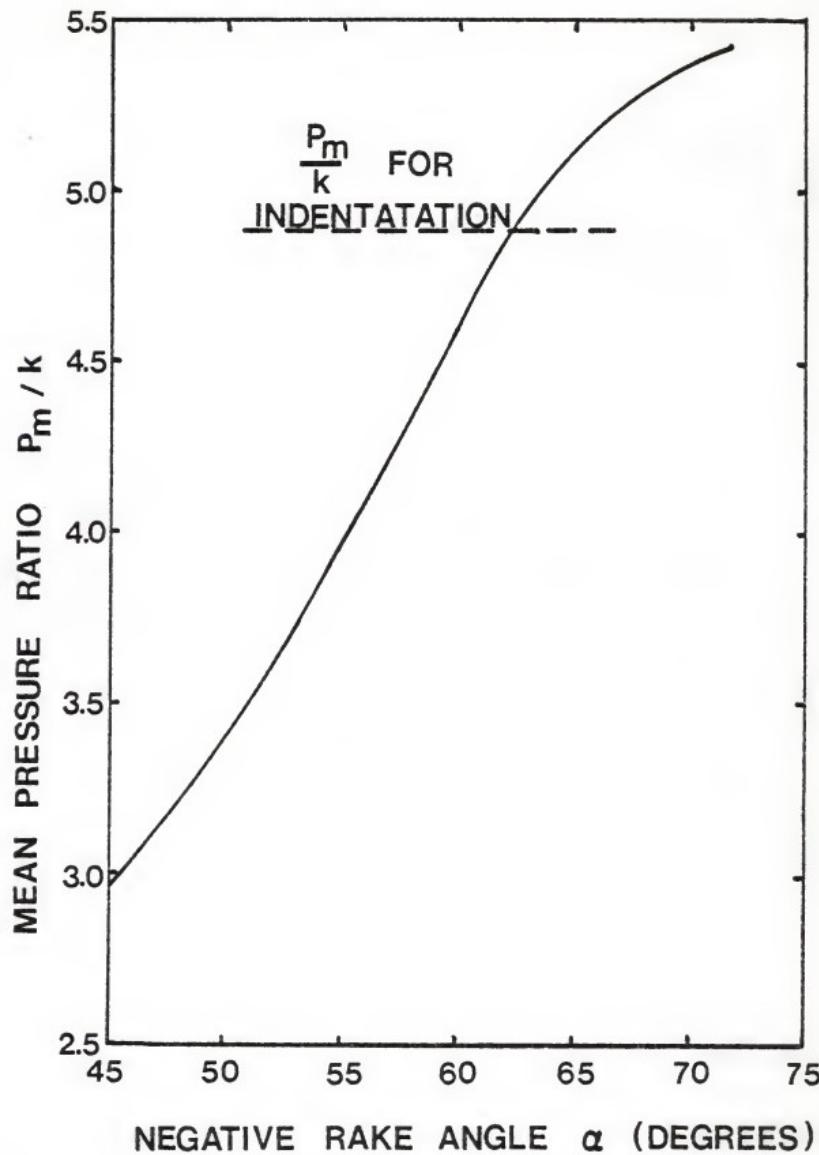
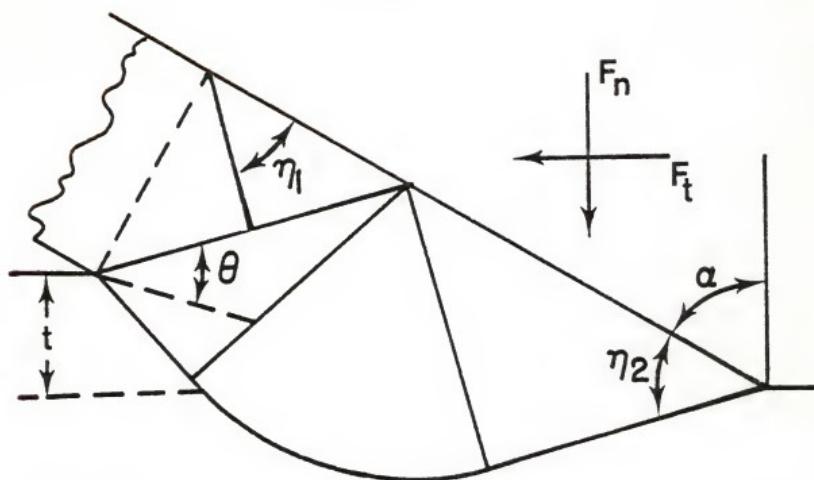
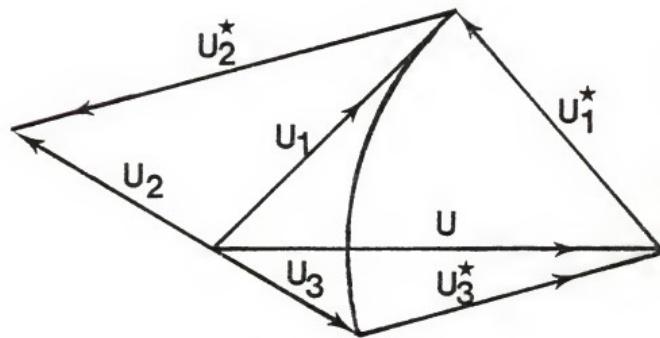


Figure 19

Mean Pressure Ratio versus  
Negative Rake Angle



SLIP-LINE FIELD



HODOGRAPH

Figure 20

Frictionless Cutting with  
 $\alpha$  Equal to  $60^\circ$

## FRICTION AT HIGH NORMAL PRESSURES

Friction between the tool and the workpiece is a very significant factor in determining the forces involved in metal cutting. In ordinary cutting theory the frictional shear stress on the cutting tool is usually assumed to be proportional to the normal stress. This is Amonton's law of friction which is only applicable at low normal stress. In negative rake metal cutting, the normal stresses are very high and thus a relationship between friction and normal stress at high normal pressures is necessary.

It is a generally accepted fact that the shear stress within the work material cannot exceed the yield stress. Thus, for a material with constant yield stress, the relation between frictional shear stress and normal stress must appear as illustrated in Figure 21. The relationship is linear for low values of normal stress, but for higher normal stress, the shear stress reaches a limiting value.

An exact relation for the shape of this curve has not been found but a slip-line solution has been carried out by Wanheim, et al [22] which appears to be a good approximation. The results are given in graphical form, but for purposes of analysis it is more convenient to have equations which adequately approximate the results.

Figure 7 of Wanheim shows graphically the real area of contact  $A_R$  as a function of dimensionless normal stress  $(\frac{Gn}{2k})$  for various values of the adhesion coefficient  $m$ . The limit of proportionality is given by

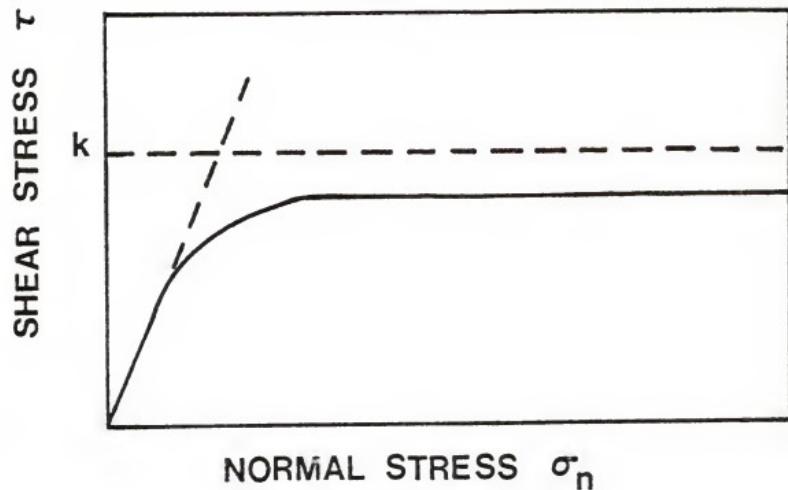
$$(\frac{Gn}{2k})_{\lim} = \frac{\sqrt{2} [1 + \pi/2 + 2\xi + \sin 2\xi]}{2\sqrt{2} + 4 \sin \xi} \quad (46)$$

where

$$m = \cos 2\xi \quad (47)$$

and

$$m \neq 0$$



**NORMAL STRESS  $\sigma_n$**

Figure 21

Relation between Frictional Shear Stress and Normal Stress

$m$	$A_{R\lim}$	$\xi$ (Radians)	$(\frac{\sigma_n}{2k})_{\lim}$	Slope
0.34	0.55	0.61194	1.3063	0.421
0.50	0.58	0.52360	1.3133	0.442
0.64	0.63	0.43815	1.3173	0.474
0.77	0.67	0.34598	1.3182	0.508
0.87	0.73	0.25780	1.3154	0.555
0.94	0.80	0.17408	1.3094	0.611
1.00	1.00	0.00000	1.2854	0.778

Table I

Numerical Values from  
Figure 7 [22]

The values of  $A_R$  at the limit of proportionality as read from Figure 7 of Wanheim are given in Table I. The following equation represents these values reasonably well as shown in Figure 22.

$$A_{R\lim} \approx 1 - 0.52 (1 - m)^{0.325} \quad (48)$$

The nominal dimensionless friction is given by

$$\frac{\tau}{k} = m A_R \quad (49)$$

Thus, in the linear range the relation between frictional stress and normal stress is given by

$$\frac{\tau}{k} = \mu \frac{\sigma_n}{2k} \quad (50)$$

where

$$\mu = \frac{m A_{R\lim}}{\left(\frac{\sigma_n}{2k}\right)_{\lim}} \quad (51)$$

for

$$0 \leq \frac{\sigma_n}{2k} \leq \left(\frac{\sigma_n}{2k}\right)_{\lim}$$

The real area of contact approaches 1 as  $\left(\frac{\sigma_n}{2k}\right)$  approaches infinity. Therefore an exponential form will be assumed for  $A_R$  beyond  $\left(\frac{\sigma_n}{2k}\right)_{\lim}$ .

$$A_R = 1 - \beta_1 e^{-\beta_2 \left(\frac{\sigma_n}{2k}\right)} \quad (52)$$

for

$$\left(\frac{\sigma_n}{2k}\right)_{\lim} \leq \frac{\sigma_n}{2k}$$

To find the two constants,  $\beta_1$  and  $\beta_2$ , two equations are required. The first equation is obtained by evaluating equation (52) at  $\left(\frac{\sigma_n}{2k}\right)_{\lim}$ .

$$A_{R\lim} = 1 - \beta_1 e^{-\beta_2 \left(\frac{\sigma_n}{2k}\right)_{\lim}} \quad (53)$$

The second equation is obtained from the slope of the  $A_R$  curve at the proportional limit.

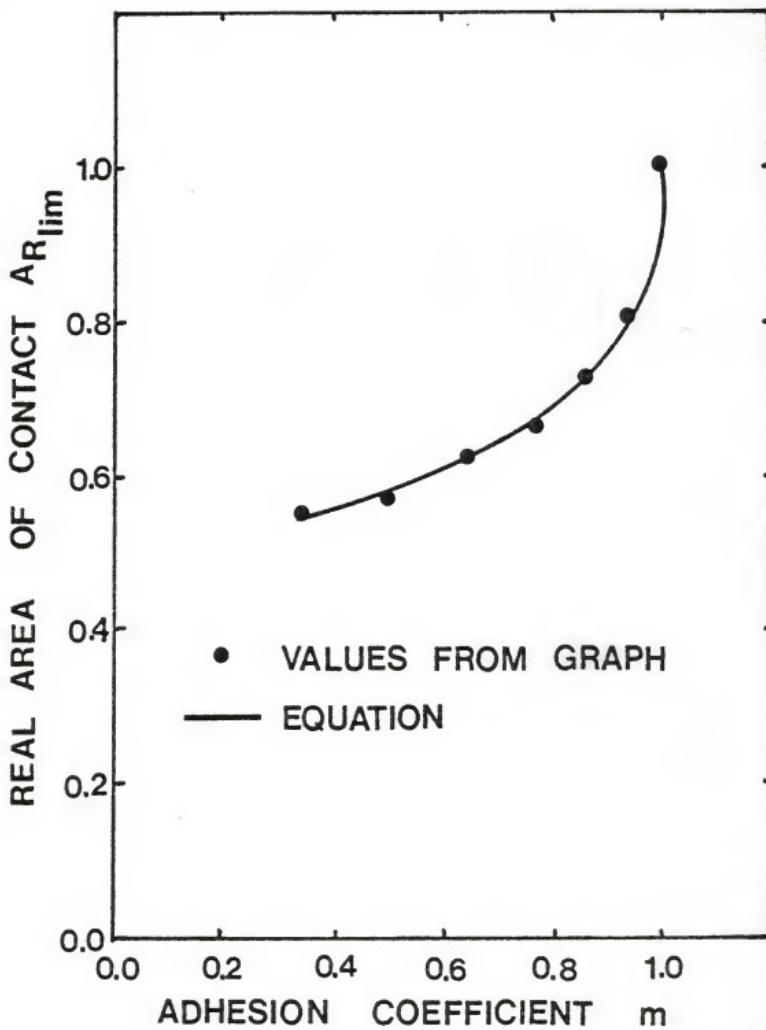


Figure 22

Analytical Representation of the Real Area of Contact at the Proportional Limit versus Adhesion Coefficient

$$\left. \frac{dA_R}{d(\frac{\sigma_n}{2k})} \right|_{(\frac{\sigma_n}{2k})_{\lim}} = \frac{A_{R\lim}}{(\frac{\sigma_n}{2k})_{\lim}} \quad (54)$$

Differentiating equation (52) with respect to  $(\frac{\sigma_n}{2k})$  and evaluating at  $(\frac{\sigma_n}{2k})_{\lim}$  yields

$$\beta_1 \beta_2 e^{-\beta_2} \left. \frac{(\frac{\sigma_n}{2k})}{(\frac{\sigma_n}{2k})_{\lim}} \right|_{(\frac{\sigma_n}{2k})_{\lim}} = \frac{A_{R\lim}}{(\frac{\sigma_n}{2k})_{\lim}} \quad (55)$$

By solving equation (55) for  $\beta_1$  and substituting into (53),  $\beta_2$  is obtained as

$$\beta_2 = \frac{A_{R\lim}}{(1 - A_{R\lim}) \left. \frac{(\frac{\sigma_n}{2k})}{(\frac{\sigma_n}{2k})_{\lim}} \right|_{(\frac{\sigma_n}{2k})_{\lim}}} \quad (56)$$

Then from equation (53)  $\beta_1$  is given by

$$\beta_1 = (1 - A_{R\lim}) e^{\beta_2} \left. \frac{(\frac{\sigma_n}{2k})}{(\frac{\sigma_n}{2k})_{\lim}} \right|_{(\frac{\sigma_n}{2k})_{\lim}} \quad (57)$$

The above equations give a theoretical relationship between the frictional shear stress and the normal stress for a material with constant yield stress  $k$  in terms of the adhesive friction coefficient  $m$ .

## NUMERICAL RESULTS: CUTTING WITH FRICTION

The numerical results were obtained by applying the theory of friction from the previous section to the approximate slip-line solution. From equation (19) it is seen that  $\sigma_1$  cannot exceed  $2k$ , and thus  $\frac{\sigma_1}{2k}$  will not exceed  $(\frac{\sigma_n}{2k})_{\lim}$ , as given by equation (46), for all values of adhesion coefficient  $m$ . Hence the frictional shear stress  $\tau_1$  is given by equations (50) and (51) as

$$\frac{\tau_1}{k} = m A_{R\lim} \frac{\frac{\sigma_1}{2k}}{(\frac{\sigma_n}{2k})_{\lim}} \quad (58)$$

From the slip-line solution,  $\tau_1$  is given as

$$\frac{\tau_1}{k} = \cos (2\eta_1) \quad (59)$$

Combining equations (58) and (59) and substituting equation (19) for  $\sigma_1$  yields the following equation for  $\eta_1$  in terms of  $m$ .

$$\cos (2\eta_1) = \frac{m A_{R\lim}}{2 (\frac{\sigma_n}{2k})_{\lim}} [1 + \sin (2\eta_1)] \quad (60)$$

This equation was solved numerically for  $\eta_1$  at given values of  $m$ , and the shear stress  $\tau_1$  was calculated from equation (59).

From equation (25) for the normal stress on the lower section of the tool, it is not obvious whether the magnitude of  $\frac{\sigma_2}{2k}$  is less than or greater than  $(\frac{\sigma_n}{2k})_{\lim}$ . However it was assumed that  $\frac{\sigma_2}{2k}$  was greater than  $(\frac{\sigma_n}{2k})_{\lim}$  and thus equation (52) was used to determine the real area of contact  $A_R$ . This assumption was checked and found to be true for every case. Using equation (52), the frictional shear stress  $\tau_2$  is obtained as

$$\frac{\tau_2}{k} = m \left[ 1 - \beta_1 e^{-\beta_2 \left( \frac{\sigma_2}{2k} \right)} \right] \quad (61)$$

From the slip-line solution  $\tau_2$  is given by

$$\frac{\tau_2}{k} = \cos (2n_2) \quad (62)$$

Combining equations (61) and (62) yields the following equation for  $n_2$  in terms of  $m$  and  $\sigma_2$ .

$$\cos (2n_2) = m \left[ 1 - \beta_1 e^{-\beta_2 \left( \frac{\sigma_2}{2k} \right)} \right] \quad (63)$$

The normal stress  $\sigma_2$  is dependent on  $n_1$ ,  $n_2$ , and  $\theta$ . In solving for  $n_2$  the value of  $n_1$  obtained from equation (61) is used. The value of  $\theta$  is dependent on  $\alpha$  and  $n_2$  and thus it cannot be obtained directly. A double iteration was required to solve equation (63) for  $n_2$ . First, equation (63) was solved by an iterative process using an arbitrarily assumed value of  $\theta$  equal to  $30^\circ$ . Then, for a given value of  $\alpha$ , the dimensionless forces were calculated and minimized with respect to  $\theta$ . The new value of  $\theta$  corresponding to the minimum cutting forces was then used to solve equation (63) for a new value of  $n_2$ . This process was repeated until the change in  $\theta$  was less than  $0.01^\circ$  which usually required 3 iterations. The value of  $n_2$  was then used to calculate  $\tau_2$  from equation (62). The computer program used for the numerical work is listed in Appendix A.

The maximum allowable negative rake angle,  $\alpha_{\max}$ , for a given adhesion coefficient was calculated from equation (45). The minimum negative rake angle,  $\alpha_{\min}$ , is given by

$$\alpha_{\min} = (90^\circ - n_2) \quad (64)$$

These expressions for the extremes of  $\alpha$  both contain the friction angle  $n_2$ . Since  $n_2$  is dependent on  $\alpha$ , the equations for  $\alpha_{\max}$  and  $\alpha_{\min}$  were solved within the iteration used to find  $n_2$ . The value of  $n_2$  used to solve equations

(45) and (64) was the value obtained from an  $\alpha$  equal to the average of  $\alpha_{\max}$  and  $\alpha_{\min}$  from the previous time through the iteration. The results are plotted in Figure 23 for a range of adhesion coefficients from 0.5 to 1.0. It is believed that the adhesion coefficient will be within this range for most cutting conditions.

To observe the effect of varying friction, a negative rake angle of  $72^{\circ}$  was chosen so that the adhesion coefficient could be varied from 0.5 to 0.8. The variations of the shear stresses, normal stresses, and dimensionless forces with respect to  $m$  are shown in Figures 24, 25, and 26 respectively. Numerical values are given in Table II of Appendix B.

The effect of varying the rake angle for a constant adhesion coefficient was found to be similar to the frictionless case. The relation between the dimensionless forces and the negative rake angle for  $m$  equal to 0.7 is shown in Figure 27. The slip-line field for  $m$  equal to 0.7 and the negative rake angle equal to  $71^{\circ}$  is shown to scale in Figure 28.

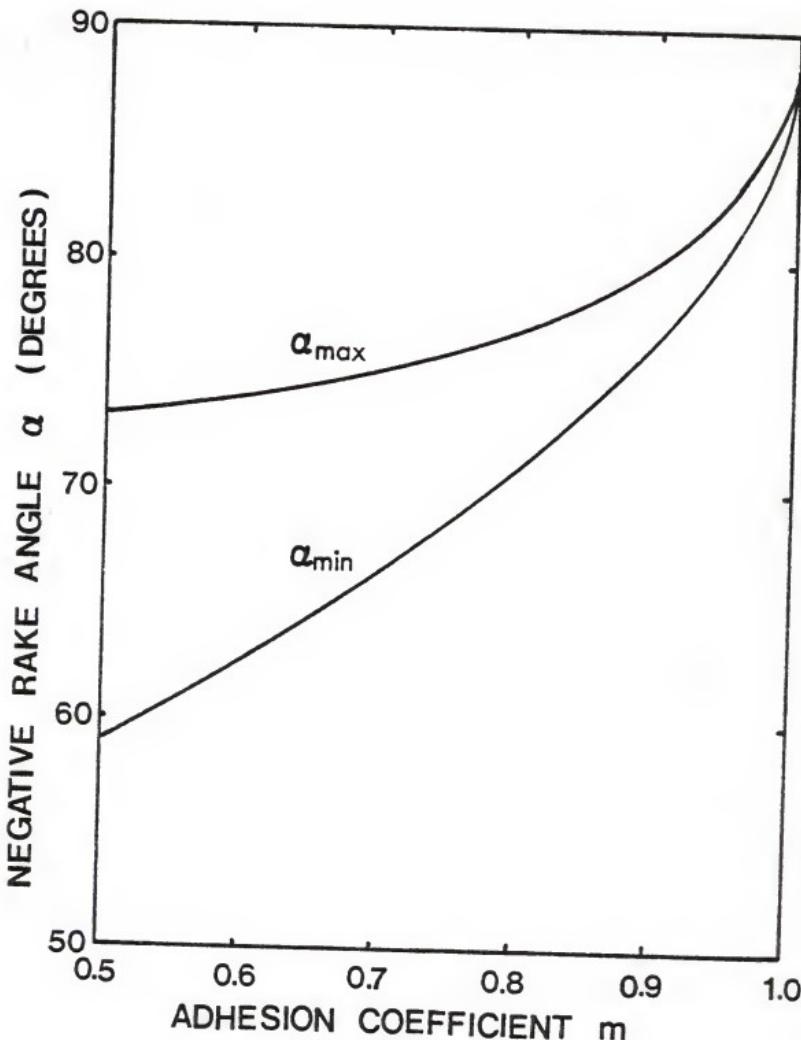


Figure 23

Maximum and Minimum Allowable  
Negative Rake Angles

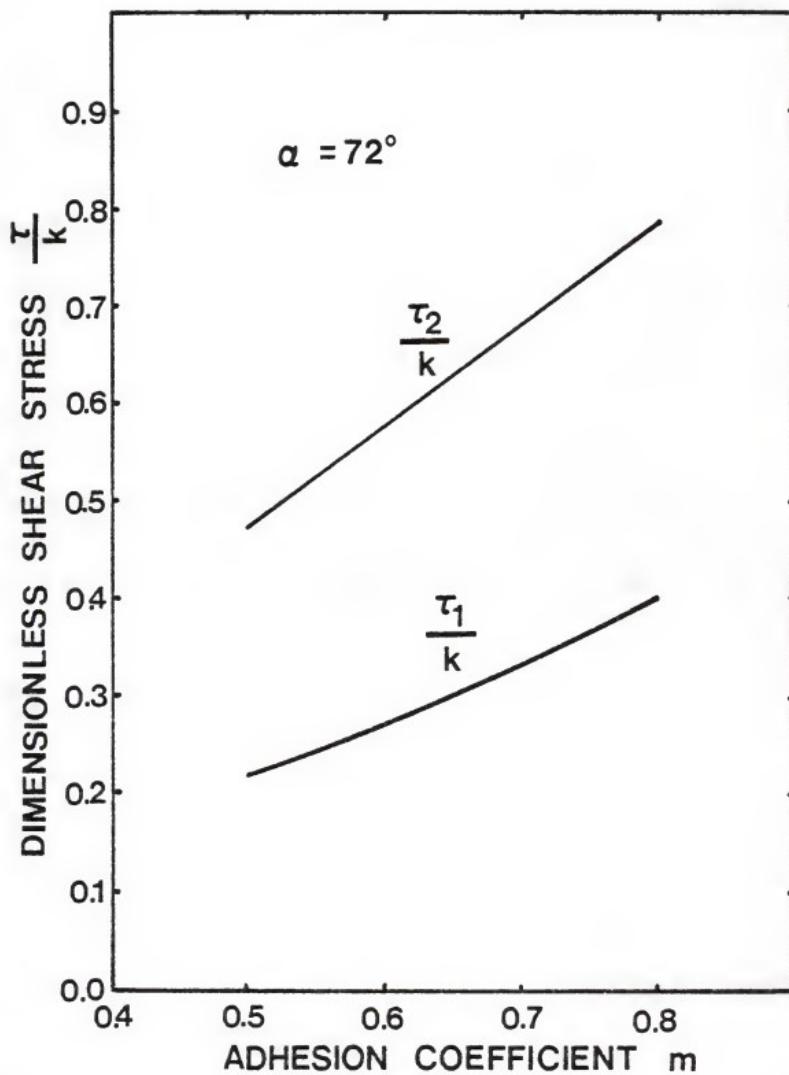


Figure 24

Shear Stresses versus Adhesion Coefficient  
with Constant Rake Angle

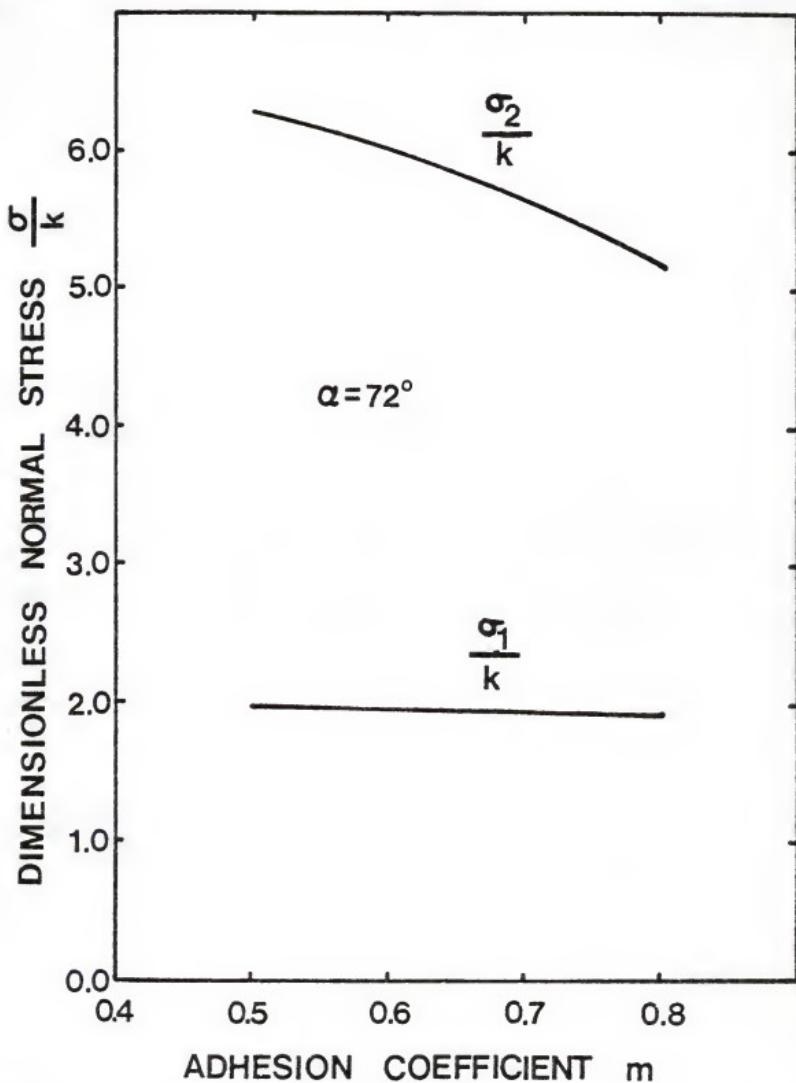


Figure 25

Normal Stresses versus Adhesion Coefficient  
with Constant Rake Angle

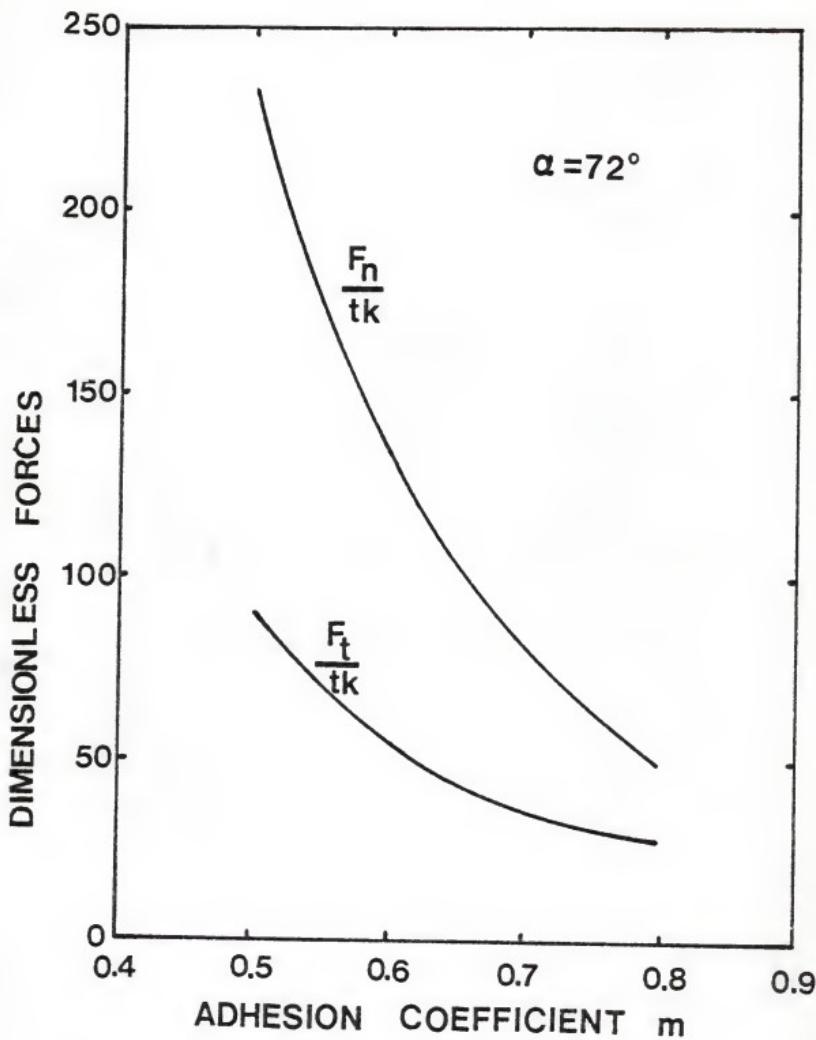


Figure 26

Dimensionless Forces versus Adhesion Coefficient  
with Constant Rake Angle

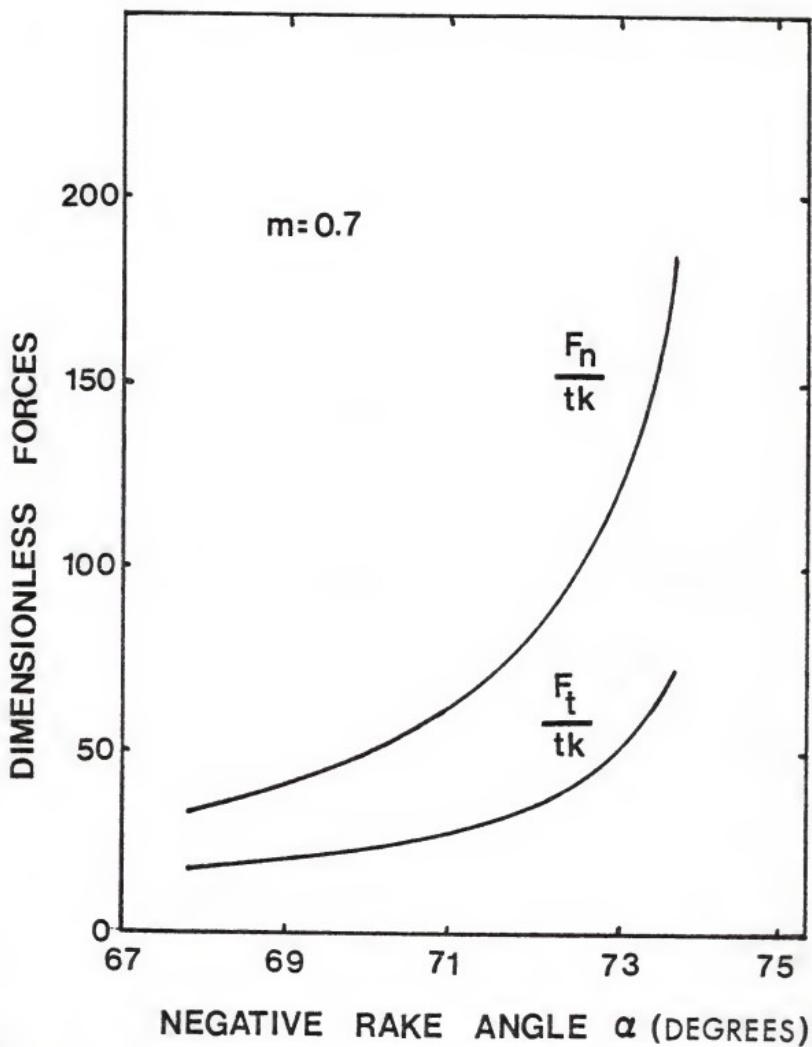


Figure 27

Dimensionless Forces versus Negative Rake Angle  
with Constant Adhesion Coefficient

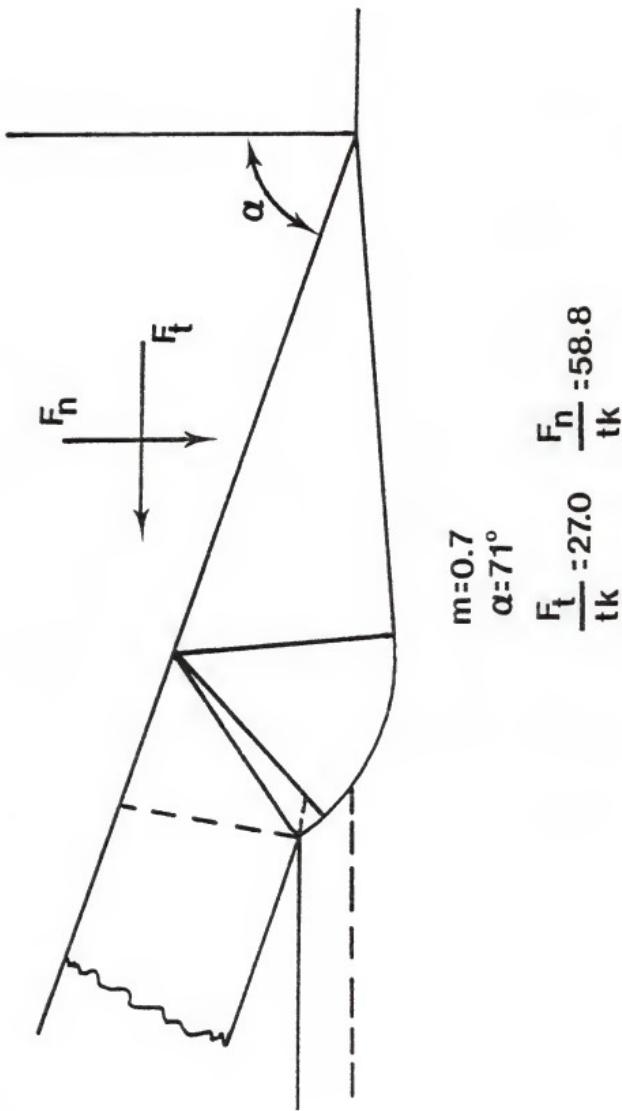


Figure 28

Slip-line Field

## CONCLUSIONS

The slip-line solution which was developed is believed to be a good approximate solution to the large negative rake cutting problem. Furthermore it appears to be the first slip-line solution which applies to large negative rake angles and considers the two way flow of material on the rake face. Although the solution is not exact, it allows for the extension of metal cutting theory to negative rake angles beyond the range covered by conventional metal cutting theory. The belief that the solution is a good approximation is supported by the numerical results.

The numerical results indicate a maximum negative rake angle, depending on the adhesion coefficient, beyond which it is impossible to form a chip. In reality there is probably no well-defined rake angle at which chips cease to form, however some experimenters [8] [11] have reported values of negative rake angle at which chips will not form under certain cutting conditions. They report that beyond the critical rake angle ploughing occurs and side flow of material is increased considerably. Although other factors may influence this critical rake angle in addition to the adhesion coefficient, the fact that a critical rake angle exists under some real cutting conditions supports the solution obtained here.

By holding the rake angle constant and varying the adhesion coefficient it was found that both cutting force components are lower when the friction is higher. When the adhesion coefficient is decreased, the cutting forces increase. For negative rake angles less than the maximum negative rake angle obtained in the frictionless case, approximately  $71.6^{\circ}$ , the adhesion coefficient can be decreased all the way to zero. As shown in

Figure 23,  $\alpha_{\max}$  decreases as the adhesion coefficient decreases. Thus for a given negative rake angle greater than  $71.6^\circ$ , the adhesion coefficient can only be decreased until  $\alpha_{\max}$  becomes equal to the given rake angle. This means that at rake angles greater than the maximum allowable negative rake angle for the frictionless case, there is a minimum amount of friction required which must be present before cutting can occur.

This result suggests that the role of friction is very important in negative rake cutting, and at a given rake angle, the least cutting force is required when friction is highest. Intuitively this result seems correct since a negative rake tool cuts with a pushing action as opposed to the slicing action of a positive rake tool, and with a high frictional shear stress  $\tau_2$ , the material is less likely to slide back under the tool and more likely to be pushed out to form a chip. The other shear stress,  $\tau_1$ , opposes chip formation. However  $\tau_1$  is always much smaller in magnitude than  $\tau_2$  since the normal stress adjacent to the stress free chip is much lower than the normal stress on the lower section of the tool. Thus  $\tau_2$  is the dominant shear stress and increasing it decreases the required cutting force.

The cutting force increases rapidly as the rake angle approaches the critical rake angle for a given friction condition. In the limit, at the critical rake angle, no chip will form for any applied force. In a real cutting situation this means that increased force would cause an increase in side flow of the material but no chip formation. Figure 3 of Komanduri [11] shows the variation of experimentally measured cutting force components with rake angle for one set of cutting conditions. The experimentally determined curves are similar in shape to the theoretical curves of Figure 27 with the normal force component being larger and increasing

more rapidly than the tangential component. Thus in a general sense the theoretical results obtained here are comparable to experimental results although no attempt has been made here to simulate the experimental tests.

Very little experimental data is available for negative rake cutting. However, to enhance this work, it would be desireable to simulate some experimental tests with the theoretical solution obtained here and compare the numerical results.

Further work also needs to be done on this solution to clear up the pressure mis-match caused by the stress discontinuity FG. Knowledge about this type of stress discontinuity appears to be limited and possibly the only way to avoid the pressure mis-match is to resort to numerical methods to obtain a new slip-line field.

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APPENDIX A

COMPUTER PROGRAM

#### BRIEF DESCRIPTION OF PROGRAM

The program was written to calculate dimensionless forces and stresses for a variety of adhesion coefficients and rake angles. The first loop in the program varies the adhesion coefficient  $m$ . The initial value of  $m$ , ( $M$ ), the step size ( $DM$ ), and the number of steps over which  $m$  is to be varied ( $NM$ ), are given before the loop begins. The adhesion coefficient can be varied from zero to near 1.0. However for  $m$  equal to 1.0 the cutting forces become infinite.

The friction angle  $\eta_1$  is found by solving equation (60) by an iterative process. The iteration is continued until the difference between the left and right sides of equation (60) is less than 0.0001.

The next loop varies the negative rake angle  $\alpha$ . Since  $\alpha$  can only be in the interval between  $\alpha_{\min}$  and  $\alpha_{\max}$ , the program selects NALP equally spaced values of  $\alpha$  within this interval. However since the forces become infinite when  $\alpha$  is equal to  $\alpha_{\max}$ , the largest value of  $\alpha$  used is  $0.1^\circ$  less than  $\alpha_{\max}$ .

At each value of  $\alpha$ , the program solves equation (63) numerically for  $\eta_2$  by the same procedure which is used for  $\eta_1$ . However since  $\eta_2$  and  $\theta$  are related, the program first calculates  $\eta_2$  using an arbitrarily assumed value of  $\theta$  equal to  $30^\circ$ . Then using the calculated value of  $\eta_2$ , a new value of  $\theta$  is obtained from the next loop which minimizes the cutting forces with respect to  $\theta$ . This process is continued until the change in  $\theta$  is less than  $0.01^\circ$ . Then the numerical results are printed out and  $\alpha$  is incremented. When  $\alpha$  has been incremented through its entire range, the adhesion coefficient is incremented and all the iterations are performed again.

PROGRAM LISTING

```

$JOB
75 FORMAT(' ','M =',F6.3)
89 FORMAT(' -',10X,'DID NOT CONVERGE')
101 FORMAT(' ',10X,10F10.3)
      REAL MUL,MU2,M
      PIO4=ATAN(1.0)
      RADDEG=45.0/PIO4
      DEGRAD=PIO4/45.0
      TTHSTD=10.0
      NALP=5
      ADIV=NALP-1
      RT2=SQRT(2.0)
      PI02=2.*PI*PIO4
      EPS=0.001
      M=0.5
      DM=0.1
      NM=2
C *** LOOP FOR VARYING THE ADHESION COEFFICIENT M ***
DO 1 JJJ=1,NM
  WRITE(6,75) M
  ARLIM=1.0-0.52*((1.0-M)**0.325)
  RHO=0.5*ARCOS(M)
  TRHO=2.0*RHO
  SIGNLM=RT2*(1.0+PI02*TRHO+SIN(TRHO))/(2.0*RT2+4.0*SIN(RHO))
  MUL=0.5*ARLIM/SIGNLM
  BETAZ=ARLIM/(1.0-ARLIM)/SIGNLM
  BETAL=(1.0-ARLIM)*EXP(BETAZ*SIGNLM)
  DEI=10.0*DEGRAD
  TETA1=2.0*PIO4
C *** ITERATION FOR ETA 1 ***
  IITER=0
210  ITER=0
  ELTEST=MUL*(1.0+SIN(TETA1))-COS(TETA1)
  IF(ELTEST.LT.0.0) GO TO 222
  TEISAV=TETA1
  TETA1=TETA1-DEI
  ITER=ITER+1
  IF(ITER.GT.12) GO TO 77
  GO TO 211
222  IITER=IITER+1
  IF(IITER.GT.19) GO TO 900
  DIFF=TEISAV-TETA1
  IF(ABS(ELTEST).LT.EPS) GO TO 900
  DEI=DIFF*0.1
  TETA1=TEISAV
  GO TO 210
77  WRITE(6,89)
900  EID=TEISAV*RADDEG
  ETA1=TETA1*0.5
  SIG1=1.0*SIN(TETA1)
  TAUL=COS(TETA1)
  TTH=60.0*DEGRAD
C *** LOOP FOR VARYING THE NEGATIVE RAKE ANGLE ALP ***
DO 600 JALP=1,NALP
  DELALP=(JALP-1)/ADIV
C *** BEGIN ITERATION FOR ETA 2 ***
  JITER=0
310  DE2=10.0*DEGRAD
  TFTAZ=2.0*PIO4
  ETAZ=0.5*TETA2

```

```

    IIITER=0
312  ITER=0
311  E2TEST=M*(1.0-BETA1*EXP(-0.5*BETA2*(1.0+2.0*(TTH+ETA1+ETA2-PIO2) +
12.0*SINTTH)+SIN(ETA2))) -COS(ETA2)
    IF(E2TEST.LT.0.0) GO TO 322
    TE2SAV=ETA2
    TETA2=TETA2-DE2
    ITER=ITER+1
    IF(ITER.GT.12) GO TO 377
    GO TO 311
322  IIITER=IIITER+1
    IF(IIITER.GT.19) GO TO 300
    DIFF=TE2SAV-TETA2
    IF(ABS(DIFF)>EPS) GO TO 300
    DE2=DIFF*C_1
    TETA2=TE2SAV
    GO TO 312
377  WRITE(6,84)
300  E29=TE2SAV*RADDEG
    ETA2=TETA2*0.5
    ETA145=ETA1+PIO4
    TTHMAX=PI_C2
    TTHDIV=50.0
    NTH=TTHDIV
    AMAX=ATAN(TAN(ETA1)+1.0/SIN(ETA2)/CCS(ETA1))
    AMIN=PIO2-ETA2
    ALP=(AMAX-AMIN)*DELALP+AMIN
    IF(ALP.GE.AMAX) ALP=AMAX-0.1*DEGRAD
    ALPD=ALP*45.0/PIO4
    CSE=CCS(ALP)/SIN(ETA2)
    IF(CSE.GT.1.0) CSE=1.0
    EICK=ALP-ARSIN(CSE)
    IF(EICK.GT.ETA1) GO TO 600
    ALET1=ALP-ETA1
    TTHMIN=ARSIN(SIN(ETA2)*SIN(ALET1)/COS(ALP))
    DTTH=(TTHMAX-TTHMIN)/TTHDIV
    TTH=TTHMIN+DTTH
    TAU2=COS(ETA2)
    CL2=1.0/SIN(ETA2)
    CL2=1.0/SIN(ETA2)

C *** LOOP TC MINIMIZE FORCES WITH RESPECT TO THETA ***
DO 500 I=1,NTH
SIG2=1.0+2.0*(TTH+ETA1+ETA2-PIO2)+2.0*SIN(TTH)+SIN(ETA2)
DL1=1.0/(RT2*SINTTH)*SIN(ETA145)
PRFS=SIG1*DL1+SIG2*DL2
TAUS=TAU2*DL2-TAU1*DL1
TOLN=DL1+DL2
T=COS(ALP)/SIN(ETA2)-SIN(ALET1)/SIN(TTH)
PAVG=PRES/TOLN
FT=(PRES*COS(ALP)+TALS*SIN(ALP))/T
FN=(PRES*SIN(ALP)-TAUS*COS(ALP))/T
THD=TTH*45.0/PIO2
IF(I.EQ.1) GO TO 499
IF(FTSAVE.LT.FT) GO TO 450
IF(I.EQ.NTH) GO TO 451
GO TO 499
450  THD=(TTH-DTTH)*45.0/PI_C2
GO TO 450
451  THD=TTH*45.0/PIO2
499  TTH=TTH+DTTH
FTSAVE=FT

```

```
FNSAVE=FN
PAVGSV=PAVG
TSAVE=T
500 CONTINUE
    TTH=THD*2.0*DEGRAD
550 CONTINUE
    JITER=JITER+1
    IF(JITER.GT.9) GO TO 899
    CHECK=TTH-TTHSTO
    CHECKD=CHECK*RADDEG
    IF(Abs(CHECK).LT.0.00034) GO TO 999
    TTHSTO=TTH
    GO TO 310
C *** END ITERATION FOR ETA2 ***
859 WRITE(6,85)
999 CONTINUE
    AMAXD=AMAX*RAJOEG
    AMIND=AMIN*RADDEG
    WRITE(6,101) ALPO,TAU1,SIG1,TAU2,SIG2,FTSAVE,FNSAVE,PAVGSV,THD,T
600 CONTINUE
    M=M+DM
    1 CONTINUE
    STOP
    END
$ENTRY
```

APPENDIX B

NUMERICAL RESULTS

TABLE II  
NUMERICAL RESULTS

$m$	$\alpha$ (Degrees)	$\frac{\tau_1}{k}$	$\frac{\sigma_1}{k}$	$\frac{\tau_2}{k}$	$\frac{\sigma_2}{k}$	$\frac{F_t}{Ek}$	$\frac{F_n}{Ek}$	$\frac{P_m}{k}$	$\theta$ (Degrees)
0.500	58.515	0.220	1.975	0.454	4.979	10.6	15.2	4.010	28.870
	62.414	0.220	1.975	0.466	5.490	13.4	22.3	4.451	34.245
	66.100	0.220	1.975	0.472	5.895	18.5	36.0	4.796	39.034
	69.664	0.220	1.975	0.476	6.156	33.2	75.9	5.015	42.562
	73.068	0.220	1.975	0.478	6.300	1007.0	2740.1	5.143	44.926
0.600	62.210	0.274	1.962	0.565	5.172	12.5	19.7	4.272	33.331
	65.311	0.274	1.962	0.572	5.526	15.7	28.2	4.579	37.413
	68.266	0.274	1.962	0.576	5.769	21.9	44.7	4.789	40.512
	71.156	0.274	1.962	0.579	5.956	39.8	93.3	4.948	43.143
	78.901	0.274	1.962	0.580	6.060	1004.3	2717.3	5.045	44.925
0.700	66.245	0.334	1.942	0.675	5.190	15.2	26.9	4.397	36.742
	68.554	0.334	1.942	0.679	5.385	19.2	37.6	4.570	39.174
	70.819	0.334	1.942	0.681	5.585	27.0	58.8	4.744	41.849
	73.025	0.334	1.942	0.683	5.708	50.0	121.5	4.852	43.666
	75.103	0.334	1.942	0.684	5.777	988.7	2685.3	4.922	44.924
0.800	70.900	0.402	1.916	0.786	5.087	19.9	40.3	4.438	39.775
	72.457	0.402	1.916	0.787	5.197	25.3	55.4	4.537	41.263
	73.993	0.402	1.916	0.788	5.292	36.1	85.3	4.624	42.631
	75.513	0.402	1.916	0.789	5.391	68.1	174.8	4.714	44.130
	76.908	0.402	1.916	0.790	5.431	951.5	2637.1	4.759	44.921

TABLE II (Continued)

$m$	$\alpha$ (Degrees)	$\frac{\tau_1}{k}$	$\frac{\sigma_1}{k}$	$\frac{\tau_2}{k}$	$\frac{\sigma_2}{k}$	$\frac{F_t}{tk}$	$\frac{F_n}{nk}$	$\frac{P_m}{k}$	$\theta$ (Degrees)
0.900	76.775	0.484	1.875	0.895	4.814	31.2	76.0	4.363	42.375
	77.591	0.484	1.875	0.896	4.860	40.3	102.8	4.407	43.057
	78.405	0.484	1.875	0.896	4.901	58.5	156.4	4.448	43.696
	79.217	0.484	1.875	0.896	4.953	113.0	317.2	4.499	44.525
	79.919	0.484	1.875	0.896	4.969	872.9	2554.1	4.522	44.913
0.999	88.750	0.646	1.764	0.999	3.956	481.5	1767.2	3.903	44.738
	88.777	0.646	1.764	0.999	3.956	608.8	2247.9	3.921	44.999
	88.782	0.646	1.764	0.999	3.956	640.4	2365.4	3.921	44.999
	88.813	0.646	1.764	0.999	3.956	958.5	3548.2	3.921	44.999
	88.845	0.646	1.764	0.999	3.956	1912.2	7094.3	3.921	44.999

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VITA

JOHN WAYNE HEIN

Candidate for the Degree

Master of Science

THESIS: THEORETICAL ANALYSIS OF METAL CUTTING WITH LARGE NEGATIVE  
RAKE CUTTING TOOLS

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THEORETICAL ANALYSIS OF METAL CUTTING  
WITH LARGE NEGATIVE RAKE CUTTING TOOLS

by

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#### ABSTRACT

An approximate slip-line field is developed for a range of negative rake angles beyond the range where ordinary metal cutting theory applies. In this range of large negative rake angles, the flow of metal along the tool is in opposite directions on either side of a stagnation point on the tool. This divided flow results in frictional stresses which are also in opposite directions. The slip-line field accounts for this complex friction distribution and is used to calculate the cutting forces and frictional stresses for various rake angles and friction coefficients.